THE RAKṢĀ LITERATURE OF THE ŚRĀVAKAYĀNA*

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Raksā literature

The Rakṣā Literature of the Śrāvakayāna

Introduction

In the present paper, I will discuss what I term the " $rak \bar{s} \bar{a}$ literature of the Śrāvakayāna". I have chosen the term $rak \bar{s} \bar{a}$ — "protection" or more specifically "protective text" — because it occurs in both Sanskrit and Pali, the latter in the equivalent form $rak k h \bar{a}$, as in the Sanskrit and Pali versions of the $\bar{A}t \bar{a}n \bar{a}t i k a - s \bar{u}t r a$. In meaning it is no different from the well-known Pali term paritta, the use of which, however, seems restricted to Pali.² (In Sanskrit $paritr \bar{a}n a$ occurs frequently as a synonym of $rak \bar{s} \bar{a}$, but in the sense of the protection sought or offered rather than protective text. Other synonyms of $rak \bar{s} \bar{a}$ in the former sense include paritta in Pali.⁴)

The $rak s\bar{a}$ phenomenon was pan-Buddhist (and indeed pan-Indian), in that the invocation of protection against disease, calamity, and malignant spirits through the office of spiritual attainment, profession of truth, mantras, or deities was a practice widely resorted to by both the Śrāvaka- and Mahā-yānas.

The concept of rakṣā appears in various forms in Buddhist literature. The presence of the Buddha — who is described by such epithets as akutobhaya, "without fear from any quarter", 5 or khemamkara, "granter

of security"¹ — itself bestowed protection. In the Soṇadaṇḍa-sutta of the Dīghanikāya, Soṇadaṇḍa says that "in whatever town or village the samaṇa Gotama stays, non-humans do not harm the people of that town or village" (DN I 116.14, samaṇo khalu bho gotamo yasmiṃ gāme vā nigame vā paṭivasati na tasmiṃ gāme vā nigame vā amanussā manusse viheṭhenti).² A similar statement is made in the Mahāsāhasrapramardanī,³ and a similar idea occurs in the Mūlasarvāstivādin Vinayavibhanga in Tibetan and Chinese translation, where the presence of the Buddha is one of the protections against "zombies" or vetāḍas.⁴

It is therefore no accident that in the earliest images of Mathurā, Gandhāra, Amarāvatī, and Nāgārjunakoṇḍa, the Buddha, whether seated or standing, is nearly always depicted in the *abhaya-mudrā*, the "gesture

 $^{^1}$ Sanskrit Āṭānāṭika-sūtra, 37.3 āṭānāṭikam sūtram vidyām rakṣām; Pali Āṭānāṭiya-sutta, DN (32) III 203.1 āṭānāṭiyā rakkhā.

² See *Jātaka* II 35.7, *imam parittaṃ imam rakkhaṃ*. Cf. Lévi 1915, p. 20 and de Silva pp. 3–5.

³ MhMVR(T) 13.1, 15.2; Mahāśītavatīī 2.9; GM I 56.10, in the common phrase rakṣāvaranagupti.

⁴ Vin II 110.6; AN II 72.27.

⁵ Theragāthā 510, Therīgāthā 333, etc.

¹ MN I 386.13.

² A close parallel occurs in the Chinese counterpart, no. 22 of the *Dīrghāgama* (95b12–14): "Moreover: whichever place the Śramaṇa Gautama reaches, the inhumans and demons would not dare to harass it". (Translation by K. Meisig, "Chung Têh King — The Chinese Parallel to the Soṇadaṇḍa-Sutta", in V.N. Jha (ed.), *Kalyāṇa-Mitta*: *Professor Hajime Nakamura Felicitation Volume*, Delhi, 1991, p. 54.)

³ Mahāsāhasrapramardanī 21.21 = D 558, rgyud, pha, 75a2.

⁴ Vinayavibhanga, Q 1032, 'dul ba, che, 128b5 foll; T 1442, Vol. 23. Vetāḍa is the preferred orthography of the Mūlasarvāstivādins: cf. Sanghabhedavastu I, 175.6,7,10; II 238.24; R. Gnoli, The Gilgit Manuscript of the Śayanāsanavastu and the Adhikaraṇavastu, Rome, 1978, p. 22.5,6,9. The same spelling occurs in non-Mūlasarvāstivādin texts: see references at BHSD 508a; MhMVR(T) 38.3, 42.10; Mahāsāhasrapramardanī 32.1,14; and the title Saptavetāḍaka-nāmadhāraṇī in both the Peking (Q 351, Vol. 7, rgyud, ba, 231a7) and Stog Palace (Skorupski no. 574) editions of the Kanjur. See also Suvarṇaprabhāsa-sūtra 55.6, 57.6, where vetāḍa is given as a variant. The preferred Pali spelling, at least in the Burmese and Siamese editions, is vetāḍa, rather than the vetāla of the PTSD (647a): see K. Meisig, Das Śrāmanyaphala-sūtra, Wiesbaden, 1987, p. 216, note 13.

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of dispelling fear".¹ (Indeed, the symbol of the open hand, which appears even earlier at Bhārhut along with the "aniconic" representation of the Buddha through his footprints, might also represent this *mudrā*.²) In Mūlasarvāstivādin literature the hand of the *bodhisattva* or of the Buddha is called "bringing relief to the fearful" (*bhītānām āśvāsanakara*);³ the *Mahāprajñāpāramitā-śāstra*, commenting on the walk (*caṅkrama*) of the Buddha, says "toujours il lève la main droite pour rassurer les êtres".⁴ While more complex *mudrās* were evolved over the centuries, the *abhaya-mudrā* never lost its popularity. With the course of time, certain revered images of the Buddha (or of *bodhisattvas*) were themselves held to confer protection.

The very act that defines a Buddhist is the "taking of refuge" (śaraṇa-gamana) in the Buddha, the Dharma, and the Saṃgha, and the formula of "triple refuge" opens virtually all Buddhist rites, including the recitation of paritta. A verse in the Mahāsamaya-sutta states that "they who go for refuge in the Buddha will not go to the lower realms: leaving behind their human form [at death], they swell the ranks of the gods" (DN II 255.3–5, ye keci buddham saraṇam gatāse, na te gamissanti apāyam; pahāya mānusam deham devakāyam paripūressanti).5

Another type of protection is the result of spiritual practice: the *Mettānisaṃsa-sutta*, a canonical *paritta*, for example, lists eleven benefits from the cultivation of friendliness. In this paper I will deal with a further type, the protection that results from the recitation of certain texts, that is, protection through speech, the spoken word.

A distinguishing mark of the rakṣā literature is that it was actually used—that is, memorised and recited for specific purposes—by both monks and lay-followers, from a very early date. This is in contrast with the bulk of the canonical literature which would only have been studied by the assidious few, mainly monk-scholars. Rakṣā texts would no doubt have been known by heart by the monks, and by some devout lay followers, as are the paritta of the Theravādins up to the present day. Thus the rakṣā literature contains texts which, from great antiquity, were regularly employed rather than simply preserved or transmitted. The only comparable classes of texts are the Prātimokṣa-sūtras and Karmavākyas—essential to the routine of the bhikṣu- and bhikṣuṇī-saṃghas—and, in a somewhat different sense, the tales of the Jātakas and Avadānas, told and retold in sermons up to the present day.²

When I speak of the "rakṣā literature of the Śrāvakayāna", I refer here to four specific classes of texts:

- 1) the paritta of the Theravadins;
- 2) the Mahāsūtras of the Mūlasarvāstivādins;
- 3) the svasti-, svastyayana-, or mangala-gāthā of various schools; and
- 4) certain texts of the *Pañcarakṣā* collections.³

¹ See D.L. Snellgrove (ed.), *The Image of the Buddha*, Paris, 1978, p. 56 and pls. 29–32 (Mathurā); p. 61 and pls. 33(c), 34, and 35 (Gandhāra), p. 81 and pl. 46 (Nāgārjunakoṇḍa, Amarāvatī).

² See *The Image of the Buddha*, pl. 8, to be compared perhaps with pl. 51 from Amarāvatī.

³ Sanghabhedavastu I 114 ult. Cf. also Mahābala-sūtra 22.9, 67.16.

⁴ Mppś V 2316; cf. also Mppś III 1345 and Encyclopaedia of Buddhism, Vol. I, fasc. 1, pp. 20–21, abhaya-dāna.

⁵ An equivalent verse occurs in the (Mūla-)Sarvāstivādin *Mahāsamāja-sūtra* in Sanskrit and Tibetan, and, with similar verses on the Dharma and the Saṃgha, in the *Sūkarikāvadāna* (*Divy* 195.26, 196.5).

¹ AN V 342.1–14. See also the eight benefits of *mettā* at AN IV 150–51.13, and cp. the similar passage incorporated into the *Megha-sūtra*, p. 294.

² I am grateful to Sally Mellick (Oxford) for pointing out the popular didactic use of the *Apadāna* literature.

³ The use of the plural "collections" will be made clear in the appropriate section.

But these classes are by no means watertight: the paritta, the Mahāsūtras, and the Pañcaraksā contain svastigāthā, the Pañcaraksā contain paritta, and so on. All four are traditional classifications of various schools, and I have adopted them as a convenient basis upon which to open my presentation of the rakṣā literaure: an upāya which I hope will prove kauśalya. There may well have been other classes or categories; some of the manuscripts retrieved from the sands of Central Asia, for example, seem to be raksā collections. We know next to nothing of the raksā literature of the Buddhist schools whose scriptures have not come down to us. The Mādhyamika scholar Bhavya (circa 500-70 A.C.?)² cites a passage from the Vidyādharapiṭaka of the Siddhārthas, whom he classifies in this case under the Mahāsāmghikas.³ According to Candrakīrti (circa 600–50 A.C.),⁴ one of the seven pitakas of the Pūrvaśailas and Aparaśailas — offshoots of the Mahāsāmghikas — was a Vidyādhara (rig 'dzin) Piṭaka;5 according to Chi-tsang (549-623 A.C.) and Paramartha (mid 6th century), one of the five pitakas of the Dharmaguptakas was a "pitaka of magic formulas". 6 According to Hsüan-tsang (first half of the 7th century), one of the five pitakas of the

Mahāsāṃghikas was a Mantra-piṭaka.¹ I-ching (635–713 A.C.) mentions a Vidyādhara-piṭaka in 100,000 ślokas;² the Ādikarmapradīpa cites a verse from a work of the same title.³ Such collections may well have included rakṣās, such as that cited from a Vidyādhara-piṭaka in the Śikṣāsamuccaya of Śāntideva (first part of 8th century).⁴ From all this we may conclude that by the 6th century (at the very latest) Śrāvaka schools of the Mahāsāṃghika fold — the Pūrvaśailas, Aparaśailas, and Siddhārthas — as well as the Dharmaguptakas transmitted a separate piṭaka, most probably devoted to mantras and spells, known as the Vidyādhara-piṭaka.⁵

In a broader sense, the $rak y\bar{a}$ phenomenon permeates Buddhist literature in general, and cannot be restricted to certain classes of texts. In sections 5 to 7, I will discuss the characteristics of $rak y\bar{a}$ as a literary phenomenon: its phraseology, and its connection with mantra and cults. Although my main topic is the $rak y\bar{a}$ literature of the Śrāvakayāna, to

¹ See Ernst Waldschmidt, *Kleine Brāhmī-Schriftrolle*, Göttingen, 1959, for some possible examples.

² For Bhavya and his date, see Ruegg 1981 pp. 61–66.

³ Tarkajvālā, Q 5256, Vol. 96, dbu ma, dza, 190a6, D 3856, dbu ma, dza, 175b1, dge 'dun (Q slon) phal chen sde'i nan tshan don grub pa rnams rig pa 'dzin pa'i sde snod.

⁴ Ruegg 1981 p. 71.

⁵ Per K. Sorensen, Candrakīrti, Triśaraṇasaptati, the Septuagint on the Three Refuges, Vienna, 1986, pp. 51–53 (vv. 57–58).

⁶ Paul Demiéville, "L'origine des sectes bouddhiques d'après Paramārtha", in *Mélanges Chinois et Bouddhiques*, Vol. I, Brussels, 1932, p. 61. Demiéville translates "formules magiques", and gives *dhāraṇī* and *mantra* as Sanskrit equivalents. Matsunaga 1977, p. 169, refers to a *Vidyādhara-piṭaka* of the same school.

¹ Here I follow Lamotte, Mppś IV, 1862. Earlier works give the Sanskrit as Dhāranī-piṭaka: see Samuel Beal, Si-Yu-Ki, Buddhist Records of the Western World, [London, 1884] Delhi, 1981, II 164-65, and Thomas Watters, On Yuan Chwang's Travels in India, [London, 1904-5] New Delhi, 1973, II 159-60.

² Latika Lahiri, *Chinese Monks in India*, Delhi, 1986, p. 65. I-ching also mentions a *Dhāraṇ-piṭaka*, pp. 64, 68. Cf. *Hōbōgirin* I 77, "Biniya".

³ Louis de La Vallée Poussin, "The Vidyādharapitaka", JRAS 1895, pp. 433–36.

⁴ Sikṣāsamuccaya 142.12 (date from Ruegg 1981 p. 82). A part of the mantra (in both the Sanskrit and Tibetan versions) is in a Prakrit close to Pali: namo sabba-sammasambuddhānam sijjhantu me mantapadāh svāhā. The Vidyādharapiṭaka is also referred to in a work of Buddhaguhya preserved in the Tanjur: see Jeffrey Hopkins, The Yoga of Tibet, London, 1981, pp. 50-51 (the Tibetan is given at p. 254 as rig 'dzin gyi sde snod). See also Encyclopaedia of Buddhism, Vol. IV, fascicle 4, p. 519.

⁵ It may be seen from the references given above that this term is attested in Sanskrit (Śikṣāsamuccaya, Ādikarmapradīpa) and Tibetan, wherein rig 'dzin = vidyādhara (Bhavya, Candrakīrti, Buddhaguhya) cannot possibly be confused with mantra (gsan snags) or dhāranī (gzuns). There is some disagreement among scholars about the Sanskrit equivalents of the Chinese terms.

study what I have called a pan-Buddhist phenomenon in isolation would be misleading. In sections 8 and 9, I will therefore touch briefly on $raks\bar{a}$ and the Mahāyāna and Tantra. As an influential and popular movement, the $raks\bar{a}$ phenomenon should have found expression in the plastic arts. Section 10 will examine the archaeological evidence. Finally, section 11 will deal with the rites associated with $raks\bar{a}$.

1. The paritta of the Theravadins1

The paritta collections of the Theravādins are distinguished by the fact that they are used in the day-to-day life of both monks and lay-followers. As noted by Malalasekera, "the Pirit Pota ... forms part of the meagre library of every Sinhalese household". Much the same is said for Burma by Mabel Bode: "to this day, [the paritta is] more widely known by the Burmese laity of all classes than any other Pali book". Wherever the

Theravāda holds sway, the average monk may not know a great deal about the *Tipiṭaka*, but will be able to recite numerous chants from memory.

Although there is evidence of the use of paritta from an early date in the Chronicles and Commentaries of Sri Lanka, references are rather scanty, perhaps because as a popular phenomenon the paritta was taken for granted. Table 1 shows the earliest known lists of paritta titles: those of the Milinda-pañha¹ and the Aṭṭhakathā of Buddhaghosa (5th century).² Some of these lists vary somewhat in the modern Burmese, Siamese, and Singhalese printed editions. The Visuddhimagga and Aṭṭhakathā lists are given in connection with the definition of the "range of the Buddha's authority" (āṇākkhetta): one hundred thousand million universes within which the parittas are efficacious. There are three basic lists, with some variants in the different editions:³

¹ The following is an assuredly incomplete bibliography on the paritta from the works available to me: E.W. Adikaram, Early History of Buddhism in Ceylon, Colombo, 1946, pp. 143-44; M.H. Bode, The Pali Literature of Burma, [London, 1909] Rangoon, 1965, pp. 3-4; W. Geiger, Pāli Literature and Language, [Calcutta, 1943] Delhi, 1968, § 17; Peter Harvey, An Introduction to Buddhism: Teachings, history, and practices, Cambridge, 1990, pp. 180-82; G.P. Malalasekera, The Pali Literature of Ceylon, Colombo, [1928] 1958, pp. 75-76; É. Lamotte, Mppś IV, 1860-61; K.R. Norman, Pāli Literature (Jan Gonda (ed.), A History of Indian Literature, Vol. VII, fasc. 2), Wiesbaden, 1983, pp. 173-74; Ven. Piyasilo, Buddhist Prayer, Petaling Jaya, 1990, esp. parts III and IV; Shway Yoe, The Burman: His Life and Notions, New York, 1963, pp. 397-98; Walpola Rahula, History of Buddhism in Ceylon, Colombo, 1956, pp. 276-80; Phya Anuman Rajadhon, Popular Buddhism in Siam and Other Essays on Thai Studies, Bangkok, 1986, pp. 57-67; L. Renou, J. Filliozat, et al., L'Inde Classique, tome II, Hanoi 1953, §§ 1982, 2039; S.D. Saparamadu (ed.), The Polonnaruva Period, Dehiwala, 1973, p. 139; M. Winternitz, A History of Indian Literature, Vol. II, New York, [1933] 1972, pp. 80, 380, note 1, 381. Further references are found in Lily de Silva, pp. xi-xii.

² Malalasekera, op. cit., p. 75.

³ *Op. cit.*, p. 3.

¹⁾ Table 1.2.1-4: the four parittas "etc." of the Dīgha-, Majjhima-, Anguttara- (Ekanipāta), and Vibhanga Aṭṭhakathās;

²⁾ Table 1.3.1-2: the five parittas of the Visuddhimagga and Samantapāsādikā;

³⁾ Table 1.4: the eight parittas of the Mahāniddesa- and Aṅguttara- (Tikanipāta) Aṭṭhakathās.

¹ The *Milinda-pañha* is a composite text, dating between the middle of the 2nd century B.C. and the 5th century A.C.: see K.R. Norman, *op. cit.*, pp. 110–13. The section in question comes from one of the later parts.

² I am grateful to Ven. Dhammānanda Mahāthera of Burma, now residing at Wat Tamao, Lampang, for many of these references and for information on the Burmese *paritta* tradition. Cf. his important article (in Thai) "On whether or not the chanting of *paritta* is *tiracchānavijjā*", in Dhammānanda 1992 pp. 191–98.

³ The titles given in the commentary on the $\bar{A}t\bar{a}n\bar{a}tiya$ -sutta (Table 1.5) occur in a different context, and are not discussed here.

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Taken together, the Aṭṭhakathā lists give eight titles; when the Aṅgulimāla-paritta of the Milinda-pañha list is added, there are nine titles.

The paritta of the Theravādins exists today in a number of recensions. In Sri Lanka there is the Catubhāṇavāra or Four Recitations, current in a shorter recension of 22 texts and a longer recension of 29 texts. The shorter recension must be the older of the two: Sri Lankan commentaries of the 12th and the 18th centuries know only the 22 texts, and the extra seven of the longer version differ somewhat in order and contents in different editions. The Samantapāsādikā (5th century A.C.) mentions "four bhāṇavāra from the suttanta", but from the context probably does not refer to the paritta collection. The earliest definite reference to the four Bhāṇavāra that I am aware of is an inscription of Kassapa V, dated circa 929–39 A.C., from the Jetavana area in Anurādhapura. Another Sri Lankan collection contains nine texts, and is known in Sinhalese as Piritnava-sūtraya; the nine titles agree with those of the Siamese Parittasankhepa (see below).

In three of the 22 texts of the shorter $Catubh\bar{a}nav\bar{a}ra$ — the Khandhaparitta, the Dhajaggaparitta, and the $\bar{A}t\bar{a}n\bar{a}tiyasutta$ — the Buddha himself recommends that they be used as $rakkh\bar{a}$. Thus their use as such is very old. In another seven texts — the Moraparitta, the Candaparitta, the Suriyaparitta, the three Bojjhangaparittas, and the $Girim\bar{a}nandaparitta$ — protection is granted through the recitation of verses or the teachings of the Buddha, while the Mangala- and Ratanasuttas deal with mangala and suvatthi, the "positive side" of $raks\bar{a}$. The (Mūla-)Sarvāstivādins and other schools also used as $raks\bar{a}$ their own counterparts of the Khandha-, Dhajagga-, $At\bar{a}n\bar{a}tiya$ -, Mora-, and Canda-parittas, along with the Mangala- and Ratana-suttas. This further establishes the antiquity of the $raks\bar{a}$ status of these texts.

A Burmese manuscript dated 1842 A.C. contains the shorter Catubhāṇavāra with one extra sutta to total 23 texts; otherwise the contents, order, and division into bhāṇavāras are the same as in the Sri Lankan recension.² The Catubhāṇavāra is not, however, recited or even generally known in Burma today, and its exact status in the past remains to be determined. The recitation of paritta is referred to in Pagan inscriptions.³ The standard collection used in Burma today consists of 11 texts called simply Paritta (or sometimes Mahāparitta), for which see Table 2A. All but three of the texts of this collection (nos. 1, 6, 11) are named in the Milindapañha and Aṭṭhakathā lists. The contents and order of the Burmese Paritta are closely related to the paritta list of the

¹ See L. de Silva, pp. 5-8; Helmer Smith, A Critical Pāli Dictionary, Epilegomena to Vol. I, Copenhagen, 1948, pp. 93*-95*; Maria Bidoli and Heinz Bechert, Singhalesische Handschriften, Teil 1, Wiesbaden, 1969, § 128, pp. 82-83 (the last named gives an extensive bibliography of printed and manuscript paritta collections).

² Cf. Encyclopaedia of Buddhism Vol. III, fasc. 4, pp. 694-95, catubhāṇavāra.

³ Sp IV 788 ult. I am grateful to L.S. Cousins (Manchester) for this reference.

⁴ "Slab-inscription of Kassapa V", *Epigraphia Zeylanica* I, London, 1912, pp. 41–57. For further references from commentaries, chronicles, inscriptions, and Sinhala literature, see L. de Silva, pp. 16–22.

⁵ C.E. Godakumbura, Catalogue of Ceylonese Manuscripts, The Royal Library, Copenhagen, 1980, pp. 25–26. The collection is not otherwise mentioned in the literature I have consulted, and was not known to two senior Sinhalese monks whom I consulted in Penang. Its origins and current status remain to be determined.

¹ These will be discussed below under *Mahāsūtra*, svastigāthā, and *Pañcarakṣā*.

² Heinz Braun and Daw Tin Tin Myint, *Burmese Manuscripts*, part 2, Stuttgart, 1985, no. 352, pp. 173–75; the extra text, no. 20 of the manuscript, is entitled *Sammāsambuddhabojjhangam*; according to the editors it is equivalent to SN V 81 foll. Since this is the only description of a Burmese *Catubhānavāra* that I have come across, I cannot say whether or not it is typical.

³ G.H. Luce, "Economic Life of the Early Burman", in *Burma Research Society*, Fiftieth Anniversary Publications No. 2, Rangoon, 1960, p. 366 (originally published in the Journal of the Burma Research Society XXX.i, pp. 283–335.

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Burmese printed edition of the *Milinda-pañha*; to what degree the one is derived from the other, or the two have mutually influenced each other, requires further research. The *Sīrimaṅgala-paritta*, a modern collection settled during the U Nu period, contains the 11 texts of the *Paritta*, to which it adds another 20 texts to make a total of 31, as shown in Table 2B. The last four are non-canonical, although three of them are styled *-sutta* in their titles and open with *evaṃ me sutaṃ*.²

The paritta tradition of Siam has parallels to both the Sri Lankan and Burmese traditions. As in Sri Lanka, in Siam there are two recensions of the Catubhāṇavāra. The longer Siamese Catubhāṇavāra, however, is equivalent to the older and shorter Sri Lankan recension of 22 texts; the shorter Siamese Catubhāṇavāra is an abridged version containing 17 texts. The division of the two Siamese recensions into recitations differs somewhat from that of the Sri Lankan version. Siam has two further recensions, the Dvādasa-paritta and the Satta-paritta. The former, also known as the Mahārāja-paritta or, in Thai, the Sipsong Tamnan, contains the first 11 texts of the Burmese Paritta plus the Jaya-paritta; the latter, also known as the Cularāja-paritta or Jet Tamnan, is an abridgement of the former and contains, according to the title, 7 texts.³ The contents of these collections are given in Tables 2C and 2D.

The *Parittasankhepa*, most probably composed at Ayutthaya in the 17th–18th centuries, lists and comments on nine *parittas*:¹

- 1. Mangala-sutta
- 2. Ratana-sutta
- 3. Metta-sutta
- 4. Khandha-paritta
- 5. Mora-paritta
- 6. Dhajagga-paritta
- 7. Āṭānāṭiya-paritta
- 8. Angulimālā-paritta
- 9. Bojjhanga-paritta.

The titles are the same as those of the Sri Lankan Piritnava-sūtraya.

For the study of the Siamese paritta tradition, the most important printed source is the Royal Chanting Book. This was compiled at the behest of King Rāma V (Chulalongkorn) by Phussadeva, later to be Supreme Patriarch, when he held the rank of Somdet Brah Buddhaghoṣācārya. It was first published in Ratanakosin Era 99 / B.E. 2423 [1880], in an edition of 10,000 copies, and thus preceded the first printed edition of the Tipiṭaka, published in 2436 [1893], by thirteen years. Otherwise, there are numerous chanting books, large and small, such as the popular Suat Mantabidhī, published in various editions. It is worth noting that the common element in the Thai titles of chanting books is manta, usually in the form suat manta. Suat manta is also the common verb for "to chant"; suat brah paritta refers to formal ceremonies with string and water, and is hence less common. In titles paritta is frequently "Sanskritised" as paritra, as in the Cula- and Mahārājaparitra of the Royal Chanting Book.

 $^{^1}$ The <code>Jinapañjara-gāthā</code> lists the seven titles of the Chaṭṭhasaṅgīti <code>Milindapañha</code>, but in a different order.

² Sīrimangalaparitta nos. 28–31.

³ Cf. Finot 1917 pp. 53-60; Kenneth E. Wells, *Thai Buddhism: Its Rites and Activities*, Bangkok, 1975, pp. 276-82. A list of the contents of the Siamese *Catubhāṇavāra* is given in the *Royal Chanting Book* p. 112. Most editions of the *Sattaparitta* give more than 7 texts (although Finot lists 7), and I am not certain which are the 7 of the title.

¹ Supaphan Na Bangchang, Vivadhanākāra Varrņagatī sai Brah Suttantapiṭaka ti Daeng nai Pradeśa Thai, Bangkok, 2533 [1990], pp. 491–500.

Raksā literature

I have been unable to find any evidence for the date or place of origin of the Burmese *Paritta* or the Siamese *Dvādasa*- and *Satta-paritta* collections.¹

In all of these collections the canonical paritta texts are set within ancillary opening and closing verses (paritta-parikamma, etc.). A synoptic edition of these verses is a desideratum.

In addition to the paritta properly speaking, there exist in Pali numerous non-canonical texts, both prose and verse, of a protective nature. To my knowledge, only one of these has been edited or studied: the Mahādibbamanta.² The others have not yet been properly catalogued or even listed. In classifiying this sort of extra-canonical literature, we might distinguish (A) apocryphal sutta texts, opening with the evam me sutam formula, and (B) gāthā or other texts recognised as having had an historical author, that make no claim to be Buddhavacana as such. Here I give a very preliminary list:

(A) Apocryphal suttas

1. Ākāravatta-sutta (or, more frequently, -sūtra);3

- 2. Dhāraṇa-paritta;1
- 3. Chadisapāla-sutta;2
- 4. Cakkaparitta-sutta;3
- 5. Parimittajāla-sutta.4

(B) Gāthā and other texts

- 1. Atthavīsati-paritta⁵
- 2. Jinapañjara-gāthā;6
- 3. Jayamangala-gāthā7
- 4. Atthamangala-gāthā;8
- 5. Uppātasanti;9
- 6. Jaya-paritta; 10

¹ Cf. L. de Silva pp. 7 and 14 for the term mahapirit or mahāparitta in Sri Lanka. According to H. Saddhatissa (*The Birth-Stories of the Ten Bodhisattas and the Dasabodhisattuppattikathā*, London, 1975, p. 37), the *Dvādasaparitta* was "presumably introduced to Ceylon by Siamese *theras* headed by Mahāthera Upāli who arrived in the island in 1753 A.C." This suggests that the origin of the *Dvādasaparitta* (and hence its abridgement, the *Satta-paritta*) is to be sought in Siam, or at least South-east Asia.

² Jaini 1965.

³ Mahābraḥbuddhamanta 21.2; O, von Hinüber, "The Pāli Manuscripts kept at The Siam Society, Bangkok, a Short Catalogue", Journal of the Siam Society, Vol. 75, 1987, no. 47, pp. 43–44. The text does not seem to be known in Burma.

¹ Mahābraḥbuddhamanta 20.8. Although the printed editions that I have seen do not open with evam me sutam, the latter half of the text is addressed to Ānanda. The text has apparently been recently introduced to Siam from Burma. Dhammānanda 1992 p. 441, Āveṇikaguṇa, gives the opening on the 18 āvenikaguṇa, with a note on their Pali sources.

² Sīrimangalaparitta no. 28. This and the next two texts are not known in Siam.

³ Sīrimangalaparitta no. 29.

⁴ Sīrimangalaparitta no. 30.

⁵ Royal Chanting Book pp. 39-40. On the evidence of an 11th century Thaton inscription, this is the earliest attested non-canonical paritta: see G.H. Luce, "The Advent of Buddhism to Burma", in L. Cousins, A. Kunst, and K.R. Norman (ed.), Buddhist Studies in Honour of I.B. Horner, Dordrecht, 1974, p. 133. I am grateful to Lance Cousins for this reference.

⁶ A number of recensions have been discussed and edited by the present Supreme Patriarch of Siam, *Prahvati Gāthājinapañjara*, Bangkok, 2529 [1986]. See also Dhammānanda 1992 pp. 199–201.

⁷ Royal Chanting Book pp. 92–94 (bāhuṃ).

⁸ Dhammananda 1992 pp. 438–40.

⁹ Sīrimaṅgalaparitta no. 31; Dhammānanda 1992 pp. 385–435. The text, believed to have been composed in Chiengmai, was reintroduced to Siam from Burma by Ven. Dhammānanda.

¹⁰ Royal Chanting Book pp. 25-27 (mahākāruniko nātho).

- 7. Ātānātiya-paritta;1
- 8. Bojjhanga-paritta;²
- 9. Mahādibbamanta;3
- 10. Yot brahkandatraipitaka.4

A number of these, along with the *Gini-paritta*, which is not known in South-east Asia, are briefly described by Lily de Silva. The *Jinapañjara-gāthā*, the $\bar{A}k\bar{a}ravatta-s\bar{u}tra$, the *Yot braḥkaṇḍatraipiṭaka*, the *Dhāraṇa-paritta*, and the *Uppātasanti* are especially popular in Siam, where they are published in the numerous collections of chants that are widely available.

Some of these texts, such as the $\bar{A}k\bar{a}ravatta-s\bar{u}tra$ and the Yot braḥkaṇḍatraipiṭaka, are expansions of the iti pi so formula, a key element of the ancient Dhajagga-paritta.⁶ Others, such as the Mahādibbamanta, the Chadisapāla, and Uppātasanti, derive their efficacy from lists of saints and deities, and thus resemble the canonical Mahāsamaya- and Āṭānāṭiya- Suttas.

2. The Mahāsūtras of the Mūlasarvāstivādins

As far as I know, *Mahāsūtra* as a technical term was applied to two collections of *sūtras*:

- (1) a group of eighteen Mahāsūtras listed in the Vinaya of the Sarvāstivādins:
- (2) a group of six or eight *Mahāsūtras* listed in the *Vinaya* of the Mūlasarvāstivādins.

These lists, lost in the original Sanskrit, have been preserved in Chinese and Tibetan translation. Both groups consist of $s\bar{u}tras$ extracted from the $\bar{A}gamas$ of the (Mūla-)Sarvāstivādin tradition; most, but not all, are common to the $\bar{A}gamas$ of the other early Buddhist schools.

The Sarvāstivādin list of eighteen $Mah\bar{a}s\bar{u}tras$ (Ta ching) occurs in the fourth section, "On Keeping the Rains Retreat" (An chữ fa = * $Vars\bar{a}v\bar{a}sadharma$), of the ninth chapter, "Seven Dharmas" (Ch' fa = *Saptadharma) of the Vinaya of that school as translated into Chinese by Puṇyatara and Kumārajīva between 399 and 413 A.C. This is the only known occurrence of the Sarvāstivādin list of $Mah\bar{a}s\bar{u}tras$. I will not discuss them here since there is no evidence that they were used as $rak \bar{s}as$. I will only note that the term $Mah\bar{a}s\bar{u}tra$ must have been in vogue by the 4th century, and that two of the Sarvāstivādin $Mah\bar{a}s\bar{u}tras$ (nos. 6 and 7, the $A\bar{t}a\bar{n}a\bar{t}ika$ and $Mah\bar{a}sam\bar{a}ja$) are classed as paritta by the Theravādins, and that five (no. 3, the $Pa\bar{n}catraya$; no. 4, the

¹ I refer here to the text included in the Burmese *Paritta* (no. 8) and the Siamese *Satta*- and *Dvādasa-Parittas*, which consists of the opening verses of homage to the seven Buddhas of the *sutta* proper, plus a series of non-canonical verses: see *Royal Chanting Book* pp. 20–22 and 38–43 (the latter incorporating the *Aṭṭhavīsati-paritta*).

² The reference is to the text found in the Burmese *Paritta* (no. 10) and the Siamese *Satta*- and *Dvādasa- Parittas*, which is a verse summary of the canonical *Bojjhanga-suttas*: see *Royal Chanting Book* p. 23.

³ Jaini 1965.

⁴ Mahābraḥbuddhamanta 21.1.

⁵ *Op. cit.*, pp. 8–11.

⁶ See also the short texts (some mixed with Thai) at *Mahābraḥbuddhamanta* 21.3; 22.2, 3, 5; 26.1-3), and Finot 1917 p. 58, *Sut Iti pi so*.

¹ T 1435, Vol. 23, 174b18; *KBC* 890.

² For the list, see A. Hirakawa, A Study of the Vinaya-Piṭaka (Ritsuzō no Kenkyū, in Japanese), Tokyo, 1960, pp. 779-80 and S. Sasaki, "The Mahāsūtra of the Mūlasarvāstivāda as listed in the IDan dkar ma Catalogue" (in Japanese), Buddhist Studies (Bukkyō Kenkyū), Hamamatsu, Vol. XV, Dec. 1985, p. 100.

Māyājāla; nos. 6 and 7; and no. 12, the Bimbisāra) are also classed as Mahāsūtras by the Mūlasarvāstivādins.

The Mūlasarvāstivādin list of Mahāsūtras is found in the Bhikṣu-Vinayavibhaṅga in both Chinese and Tibetan translation and in the Bhikṣu-Vinayavibhaṅga in Chinese translation only, in connection with the third pārājikā. The two Chinese lists (translated at the beginning of the 8th century), which are identical, give the titles of six Mahāsūtras (Ta ching); the Tibetan list (translated c. 800 A.C.) gives the same six titles in the same order, plus two more to make a total of eight Mahāsūtras (mDo chen po che ba). I will give here the Tibetan list with equivalent Sanskrit titles: 2

Cūdaśūnvatā

Mahāsamāja-sūtra

1. Chan ha ston pa ma	Cuiquisum, unu
2. Chen po ston pa ñid	Mahāśūnyatā
3. lNa gsum pa	Pañcatraya
4. sGyu ma'i dra ba	Māyājāla
5. gZugs can sñin pos bsu ba	Bimbisārapratyudgamana
6. rGyal mtshan dam pa	Dhvajāgra
7. Kun tu rgyu ba dan kun tu	
mi rgyu ba dan mthun pa'i mdo	Āṭānāṭīya-sūtra³

1 Chun nu ston na ñid

8. Dus pa chen po'i mdo

Nine *Mahāsūtras* — the eight listed above, but with two *Dhvajāgrasūtras* — were translated into Tibetan by Jinamitra, Prajñāvarman, and Ye śes sde in about 800 A.C. Although Sanskrit fragments of six of these *sūtras* (nos. 3–8) have been recovered from Central Asia, and

although parallel versions of seven of them (nos. 1, 2, 5, two *Dhvajāgras*, 7, 8) were translated into Chinese, only the Tibetan versions are specifically described as *Mahāsūtras* (*mDo chen po*) in their titles and colophons.

The Mūlasarvāstivādin affiliation of the nine Tibetan Mahāsūtras is established by the Vinayavibhaṅga lists, by the fact that the leading translator, Jinamitra, is described in Vinaya colophons as a vinayadhara of that school, and by the fact that a contemporary royal edict forbad the translation of any Śrāvakayāna texts apart from those of the Mūlasarvāstivādins.¹

These nine *Mahāsūtras* were originally transmitted to Tibet as a group. In the "lDan (or lHan) dkar ma Palace Catalogue", the oldest extant list of works translated into Tibetan, which dates to the early 9th century, they make up the eighth division, *mDo chen por gtogs pa*, "Category of Great Sūtras".² In his *History of Buddhism (Chos 'byun*), completed in 1322 or 1323,³ Bu ston also lists the nine Tibetan titles together, but in a different order.⁴

¹ T 1442, Vol. 23, 662a28; T 1443, Vol. 23, 925c6.

² Vinayavibhanga, Q 1032, 'dul ba, che, 129a5.

³ The title of this text is variously spelt: Āṭānāṭīya by the Mūlasarvāstivādins (in Tibetan transliteration), Āṭānāṭika by the Sarvāstivādins (in Central Asian manuscripts), and Āṭānāṭiya by the Theravādins.

¹ E. Obermiller, tr., *History of Buddhism (Chos-hbyung) by Bu-ston*, II. Part, Heidelberg, 1932, p. 197; Claus Vogel, "Bu-ston on the Schism of the Buddhist Church and on the Doctrinal Tendencies of Buddhist Scriptures", in Heinz Bechert (ed.), *Zur Schulzugehörigkeit von Werken der Hīnayāna-Literatur*, part I, Göttingen, 1985, pp. 109–10. The correct Sanskrit should be Vogel's Mūlasarvāstivādin (= Bu ston, Lhasa xylograph, ya, 130b1, gżi thams cad yod smra) rather than Obermiller's Sarvāstivādin. Cf. János Szerb, Bu ston's History of Buddhism in Tibet, Vienna, 1990, p. 46.6 and note 8.

² Lalou 1953 pp. 324–25; S. Yoshimura, "The Denkar-Ma, an oldest Catalogue of the Tibetan Buddhist Canons", Kyoto, 1950 [rep. 1974], p. 23. For the history and date of the lDan dkar ma Catalogue, see Lalou, pp. 313–17; G. Tucci, *Minor Buddhist Texts*, part 2, [Rome, 1958] Delhi, 1986, pp. 46 foll.; D. Snellgrove, *Indo-Tibetan Buddhism*, London, 1987, pp. 440–41.

³ D.S. Ruegg, The Life of Bu Ston Rin Po Che, Rome, 1966, p. xvii.

⁴ Bu ston, Lhasa xylograph, ya, 144a4; S. Nishioka, 'Index to the Catalogue Section of Bu Ston's "History of Buddhism" (I)', Annual Report of the

The evidence for the $rak \ \bar{s} \ \bar{a}$ status of the Mūlasarvāstivādin $Mah \ \bar{a} \ \bar{s} \ \bar{t} ras$ is found in the Vinayavibhanga itself, where their recitation is recommended as a protection ($srun\ ba = rak \ \bar{s} \ \bar{a}$) against $vet \ \bar{a} \ das$ ($ro\ lans$). The commentary by Vinītadeva, the $Vinayavibhangapadavy \ \bar{a} \ khy \ \bar{a} \ na$, also translated about 800 A.C., states:

"Mahāsūtra" means of great fruit (mahāphala), because it overcomes opponents (parapravādin) and because it overcomes dangerous yakṣas, etc.

Four of the $Mah\bar{a}s\bar{u}tras$ have counterparts among the paritta of the Theravādins: the $\bar{A}t\bar{a}n\bar{a}t\bar{i}ya$, the $Mah\bar{a}sam\bar{a}ja$, and (various elements of) the two $Dhvaj\bar{a}gras$. The principle of selection of the other five is not clear to me.

One other text preserved in Tibetan translation bears the title Mahāsūtra: the (Ārya) Vaiśālīpraveśa-mahāsūtra.² The translation, under the title ('Phags pa) Yans pa'i gron khyer du 'jug pa'i mdo chen po, was done by Surendrabodhi and Ye śes sde; since the latter collaborated with the translators of the nine Mahāsūtras, the translations were roughly contemporary. Its Mūlasarvāstivādin affiliation is shown by the fact that the entire sūtra is incorporated into the Bhaiṣajyavastu of the Vinaya of that school in both its Tibetan and Chinese versions.³

The Vaiśālīpraveśa consists of two parts. In the first, the Buddha and Ānanda travel to Vaiśālī; when they arrive, the Buddha tells Ānanda go to the city and recite certain mantras and verses. In the second part, Ānanda does the Buddha's bidding, repeating the mantras and verses in full. In the Bhaiṣajyavastu, the events occur during the Buddha's last journey, in a version of the Mahāparinirvāṇa-sūtra expanded by the inclusion of long jātakas and avadāṇas; the description of the visit to Vaiśālī, ending with the pacification of the epidemic, resembles the setting of the *Ratnasūtra in the texts of other schools.\(^1\) The status of the Vaiśālīpraveśa-mahāsūtra as a rakṣā is clear from the fact that contains a long mantra and svastigāthā (see \§ 3), which cure the epidemic in that city, and from the fact that it is included under the title Mahāmantrānusārinī in the Sanskrit Pañcarakṣā collection (see below, \§ 4).

From the foregoing we may conclude that ten *Mahāsūtras* were popular with the Mūlasarvāstivādins by at least the 8th century, and that these *Mahāsūtras* had *raksā* status.

3. The svasti-gāthā of various schools

The next category of rakṣā texts consists of sets of verses variously known as svasti-, svastyayana-, or mangala-gāthā,² or occasionally as

Institute for the Study of Cultural Exchange, The University of Tokyo, No. 4, 1980, nos. 11–19.

¹ Q 5616, 'dul ba'i 'grel pa, vu, 74b2.

² Q 142, 714, 978, translated by Léon Feer in AMG V, pp. 423–29. There is possibly one more, the Mahāśītavana (Q 180) of the Tibetan Pañcarakṣā collection; there are, however, difficulties with the title which can only be resolved by further research.

³ Q 1030, Vinayavastu, sman gyi gźi, bampo 28, 'dul ba, ge, 42a1–45a4; T 1448, Vol. 24, 27b11–28b6.

 $^{^1}$ As far as I know, there is no extant version of a (Mūla-)Sarvāstivādin *Ratna-sūtra. Whether or not the Vaišālīpraveśa is in fact the *Ratna-sūtra of that tradition remains to be seen. While the Vaišālīpraveśa has only one verse in common with the three extant *Ratna-sūtras, and that a verse also found in other texts, it is difficult to believe that the Mūlasarvāstivādins would have two different accounts of the "miracle of Vaiśālī".

² For a Jaina text related to this type of rakṣā see Gustav Roth, "Notes on the Paṃca-namokkāra-parama-mangala in Jaina Literature", in Heinz Bechert and Petra Kieffer-Pülz (ed.), Indian Studies (Selected Papers) by Gustav Roth, Delhi, 1986, pp. 129-46. I expect the tradition of some sort of svasti-gāthā

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pranidhāna or satyavāk. For ease of reference, I will henceforth refer to them as svastigāthā. They may be described as "verses of welfare, benediction, or blessing"; in a sense they are the positive side of the rakṣā coin — the promotion of welfare in contrast with protection against calamity.

The term svastyayana(-gāthā) is vouchsafed by the Mahāvastu, where it describes one of the most popular parittas, the Ratana-sutta.¹ The same text uses the term sovatthika for the verses of benediction spoken by the Buddha to the merchants Trapusa and Bhallika.² Svastyayana, "wellbeing", is one of the synonyms of rakṣā (in the sense of "protection" rather than "protective text") in the Mahāmāyūrī, and in the Meghasūtra, and the Ekādaśamukha;³ in the Śārdūlakarṇāvadāna it occurs in conjunction with paritrāṇa.⁴ In the Jātakamālā, svastyayana is used in the sense of "protective charm" or "talisman".5

The only extant collections of $svasti-g\bar{a}th\bar{a}$ are found in Tibetan translation. The earliest list, of seven titles, occurs in the $lDan\ dkar\ ma$ Catalogue of the early 9th century, wherein they make up a separate class, section XVIII, under the title $bKra\ \acute{s}is\ kyi\ rnam\ gra\acute{n}s = *Svastipary\bar{a}ya.^6$ In the existing recensions of the Tibetan canon, verse

must exist in the Brahmanical tradition, but have not seen any references. The concluding verse of *King Mahendra's Bhagavad-Ajjuka* (ed., tr. Michael Lockwood and Vishnu Bhat, Madras, 1978, p. 114) may be described as a *svasti-gāthā*.

texts of this type are grouped together at the end of the main divisions of the Kanjur and at the end of the Tanjur. In the Peking edition, for example, they occur at the end of the Tantra division (rGyud, Q Vol. 9) properly speaking, at the end of the Dhāraṇī Collection (gZuns 'dus, Q Vol. 11), at the end of the Vinaya ('Dul ba, Q Vol. 45) — which in the Peking edition equals the end of the Kanjur — and at the end of the Tanjur (Q Vol. 150), preceding the Catalogue (dKar chag, Vol. 151). In all cases they perform their function as svastigāthā, benedictions or blessings at the conclusion of the meritorious work of compiling the Tripiṭaka. This is explained in the Catalogue (dKar chag) to the Golden Tanjur:²

"Now, in order to make fruitful the work that has [just been] completed [the copying of the Tanjur], the dedications ($bsno\ ba = pariṇaman\bar{a}$), aspirations ($smon\ lam = praṇidh\bar{a}na$), and blessings ($bkra\ sis = mangala$) [follow]...

Well-placed [here] are the forty-odd dedications, aspirations, and verses of blessing which when recited accomplish all aims and promote welfare at all times."

Out of the "forty-odd" texts, the parinamanā and pranidhāna (mostly extracted from Mahāyāna works) come first, followed by the svasti- and mangala-gāthā, which come at the end. I can give here only a few examples of the latter:³

I Mahāvastu I 236.2, svastyayanagāthām bhāşati; 236.10, śrņvantu svastyayanam jinena bhāsitam.

² Mahāvastu III 404.1 (= Senart 305.10). Cf. BHSD 606b, where this is the sole reference.

³ MhMVR(T) 13.2, 15.3, etc; Megha-sūtra 298.14; Ekādaśamukha, GM I 36.2: in all three texts svastyayana is preceded by śānti, "peace".

⁴ Divy 614.6, paritrānam svastyayayam kuryāt.

⁵ Jātakamālā VIII, Maitrībala, vv. 7, 9, etc.; XIX, Bisa, v. 15.

⁶ Lalou 1953 p. 330.

¹ That is, not counting the three volumes of the "Old *Tantras*" (*rñin rgyud dza*, *va*, *ża*) or volume *za*.

² Golden Tanjur, Vol. 100, dkar chag, tso, 182b6–184a1. Similar passages are found in other editions of the Kanjur and Tanjur.

³ The following is based on the Peking edition of the Kanjur and Tanjur. For the Berlin manuscript Kanjur, see Hermann Beckh, Verzeichnis der Tibetischen Handschriften der Königlichen Bibliothek zu Berlin, Erste Abteilung: Kanjur

- 1. A complete extract of the verses of one of the $Mah\bar{a}s\bar{u}tras$, the $Vais\bar{a}l\bar{i}prave\dot{s}a$ (see above, § 2), which are described as $bde\ legs\ kyi$ $tshigs\ su\ bcad\ pa=svastig\bar{a}th\bar{a}.^1$
- 2. Verses extracted from the $Mah\bar{a}s\bar{a}hasrapramardan\bar{i}$, a $Pa\bar{n}carak\bar{s}\bar{a}$ text (see below, § 4), equivalent to the Ratana-sutta of the Theravādin $Suttanip\bar{a}ta$ and the parallel svastyayana- $g\bar{a}th\bar{a}$ in the Lokottaravādin Mahāsāṃghika $Mah\bar{a}vastu$, but differing in number of verses, order, and details. The title describes them as $smon\ lam = pranidh\bar{a}na$.
- 3. A set of two groups of verses extracted from another $Pa\tilde{n}carak_s\tilde{a}$ text, the $Mah\bar{a}m\bar{a}y\bar{u}r\bar{\iota}$. The first group deals with the Seven Buddhas and their *bodhi*-trees; the second consists of two verses common to the first $Dhvaj\bar{a}gra-mah\bar{a}s\bar{u}tra$ and to the $Vai\dot{s}\bar{a}l\bar{\iota}prave\dot{s}a$, plus a third

(Bkah-hgyur), Berlin, 1914, p. 5 ('dul ba), pp. 132–33 (rgyud), p. 147 (gzuńs 'dus); for the Derge Kanjur see Hakuju Ui et al., A Complete Catalogue of the Tibetan Canons, Sendai, 1934, pp. 135–37 (rgyud), 178–80 (gzuńs 'dus); for the Lithang Kanjur, see Jampa Samten Shastri and Jeremy Russell, "Notes on the Lithang Edition of the Tibetan bKa'-'gyur", in The Tibet Journal, Vol. XII, no. 3, autumn, 1987, Appendix III ('dul ba). Because different texts bear similar or identical titles, because the same text sometimes bears different titles in the different divisions of one edition, and because the titles are sometimes given in the colophon rather than at the head of the text, I am unable to give a complete concordance in this paper. Note that the "Them spangs ma" Kanjurs have only a few such texts at the end of the Sūtra (mdo sde) and Tantra (rgyud) divisions: see for example the Stog Palace Kanjur, Skorupski nos. 321–32 and 759–63.

satyavāk verse. The title describes the verses as pranidhāna (smon lam = first set) and satyavāk (bden tshig = second set).

- 4. The *Devapariprcchā-maṅgalagāthā*,² parallel to the Pali *Maṅgala-sutta*, another of the most popular *parittas*; since it differs in number and order of verses, it is the recension of another, as yet undetermined, school.
- 5. The Āsīrvāda-gāthā,³ according to the colophon an extract from the Trapuṣabhallikaparivarta of the Lalitavistara.⁴ Similar verses, described as sovatthika, are found in the Mahāvastu.⁵ In both cases they are spoken by the Buddha to the merchants Trapuṣa and Bhallika. The verses occur in the Vinaya of the Mahāsāṃghikas in Chinese translation, but in a different context.⁶ A fragmentary Sanskrit manuscript from Central Asia in the Pelliot collection also contains the verses, again addressed to the two merchants,² and a parallel is found in Uighur.⁶ The stanzas invoke the blessings and protection of 28 nakṣatras, 32 devakumārīs,

¹ Q 439, Vol. 9, end of *rgyud*; Q 1045, Vol. 45, end of 'dul ba; Q 5950, Vol. 150, end of *Tanjur*.

² Ston chen mo rab tu 'joms pa las gsuns pa'i smon lam, Q 436, Vol. 9, end of rgyud; Q 719, Vol. 11, end of gzuns 'dus; Q 1043, Vol. 45, end of 'dul ba; Q 5951, Vol. 150, end of Tanjur.

³ Rig snags kyi rgyal mo rma bya chen mo las gsuns pa'i smon lam dan bden tshig: Q 437, Vol. 9, end of rgyud; Q 720, Vol. 11, end of gzuns 'dus; Q 1044, Vol. 45, end of 'dul ba; Q 5953, Vol. 150, end of Tanjur.

¹ MhMVR(T) 13.17-14.3 and 14.15-15.1, respectively.

² Lhas zus pa'i bkra sis kyi tshigs su bcad pa, Q 442, Vol. 9, end of rgyud; Q 721, Vol. 11, end of gzuns 'dus; Q 1053, Vol. 45, end of 'dul ba; Q 5943, Vol. 150, end of Tanjur. Studied in French translation by Feer, compared with the Tibetan translation of a Theravādin version, in AMG V pp. 224–27.

³ Sis par brjod pa'i tshigs su bcad pa, Q 728, Vol. 11, end of gzuñs 'dus; Q 1048, Vol. 45, end of 'dul ba; Q 5949, ño mtshar bstan bcos, mo, 336b2-39a4, end of Tanjur.

⁴ Colophon, mo, 339a3; the translated verses indeed agree with those of the Tibetan *Lalitavistara*, Q 763, mdo, ku, 209a7-11a4, translated circa 800 A.C. by Jinamitra, Dānaśīla, Munivarma, and Ye śes sde (for Sanskrit cf. *Lalitavistara* 282.3-85.8 = vy. 109-52).

⁵ Mahāvastu III 404.7–10.14 (vv. 7–51).

⁶ Bareau 1959 pp. 303–4. Bareau refers to T 1425, 500c–01b.

⁷ Pauly 1959 pp. 203–22.

⁸ Lore Sander, "Buddhist Literature in Central Asia", Encyclopaedia of Buddhism, Vol. IV, fasc. 1, 1979, p. 61.

Rakṣā literature

the Four Great Kings and their assemblies, and four *caityas*, in the sequence of the four quarters, and hence set up a protective circle.¹

Other texts bear similar titles:

- 6. Svasti-gāthā²
- 7. Svastyayana-gāthā³
- 8. Pañcatathāgatamangala-gāthā4
- 9. Ratnatrayamangala-gāthā⁵
- 10. Mangala-gāthā6
- 11. Ratnatrayasvastigāthā⁷
- 12. Rig gsum gyi bkra śis kyi tshigs su bcad pa8
- 13. Sans rgyas rabs bdun gyi bkra śis kyi tshigs su bcad pa.9

Only two svastigāthās may be assigned a school with any certainty: the Vaiśālīpraveśa-svastigāthā, which occurs in the Bhaiṣajyavastu of the

Mūlasarvāstivādins, and the $\bar{A}\dot{s}\bar{i}rv\bar{a}da$ - $g\bar{a}th\bar{a}$, which is Mahāsāṃghika in two (most probably three) of its versions.¹

It is likely that at least some of the svastigāthā in the Tibetan Tripiṭaka belonged to the liturgy of the monks of the Mūlasarvāstivādin or other nikāyas in India. That is, they would have been recited in appropriate contexts — sickness or calamity, or anumodanā for dāna — just as their Pali counterparts are chanted by Theravādin monks up to the present day.² In the Mahāsāṃghika Vinaya, the Āśīrvāda-gāthā are presented as a model of the benediction to be given by monks to merchants who have made offerings.³ Examples of verse abhyanumodanā are found in the Vinaya and Sūtra literature of the (Mūla-)Sarvāstivādins, where the stock formula is atha bhagavān (name of donor, genitive) tad dānam anayā abhyanumodanayā abhyanumodate.⁴ Another formula is bhagavatā...dakṣiṇā ādiṣṭā.⁵ Some information about chanting in India in the late 7th century is supplied by I-ching; he does not, however,

 $^{^{1}}$ For a summary of the verses in the *Lalitavistara*, *Mahāvastu*, and *Vinaya* see Bareau 1959 pp. 304–9.

² Bde legs kyi tshigs su bcad pa, Q 440, Vol. 9, end of rgyud; Q 772, Vol. 11, end of gzuns 'dus.

³ Bde legs su 'gyur ba'i tshigs su bcad pa, Q 441, Vol. 9, end of rgyud; Q 773, Vol. 11, end of gzuñs 'dus.

⁴ De bžin gšegs pa lňa'i bkra šis kyi tshigs su bcad pa, Q 445, Vol. 9, end of rgyud; Q 726, Vol. 11, end of gzuňs 'dus; translated by Feer, AMG V p. 470.

⁵ Dkon mchog gsum gyi bkra śis kyi tshigs su bcad pa, Q 447, Vol. 9, end of rgyud; Q 729, Vol. 11, end of gzuńs 'dus; Q 5958, Vol. 150, end of Tanjur.

⁶ Q 449, etc.: see references in note 3 on p. 137.

⁷ Dkon mchog gsum gyi bkra śis kyi tshigs su bcad pa, Q 450, Vol. 9, end of rgyud; Q 5955, Vol. 150, end of Tanjur.

⁸ Q 446, Vol. 9, end of rgyud; Q 727, Vol. 11, end of gzuńs 'dus; Q 5961, Vol. 150, end of Tanjur; translated by Feer, AMG V pp. 474–75. The title means "Verses of Blessing of the Three Families" (*Trikula / Kulatraya-maṅgalagāthā).

⁹ Q 444, etc.: see references in note 4 on p. 137. The title means "Verses of Blessing (maṅgalagāthā) on the Lineage of the Seven Buddhas".

¹ The Mahāvastu, the Vinaya in Chinese, and the Lalitavistara. On the basis of style, phraseology, and doctrine, the origins of the last named seem to me to lie more probably with the Mahāsāṃghikas than with the Sarvāstivādins. The common attribution of the text to the latter seems to rest on a sole Chinese reference to the titles of a number of biographies of the Buddha: see Samuel Beal, The Romantic Legend of Śākya Buddha, [London, 1875] Delhi, 1985, pp. v-vi, 386-87.

² In terms of purpose — celebration of the merits of an act of giving — anumodanā is not strictly speaking a protection, rakṣā. But since the verses employed overlap the rakṣā literature (the first Dhvajāgra-mahāsūtra contains abhyanumodanā verses, some of which are elsewhere described as svasti-gāthā) and the Pali anumodanā are printed along with paritta and assorted rakṣās (see Royal Chanting Book, anumodanā-vidhī), it seems more convenient to study abhyanumodanā and svastigāthā together.

³ Bareau 1959 pp. 303–4.

⁴ See Sanghabhedavastu I 124.11-20; Ernst Waldschmidt, Das Mahā-parinirvāṇasūtra, [Berlin, 1950-51] Kyoto, 1986, §§ 6.11-14, 12.6-9, 26.29-30.

⁵ Sanghabhedavastu I 199.25-27.

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mention any canonical texts by name. I-ching's translator, Takakusu (p. 48), gives two Sanskrit terms: dānagāthā and dakṣiṇāgāthā. 2

As far as I know, only two of the *svastigāthā* mentioned above are currently recited by members of the Tibetan *saṃgha* (who are by ordination Mūlasarvāstivādin): the *Mangalagāthā* on the twelve acts of the Buddha, attributed to Nāgārjuna,³ and the *Mangalagāthā* on the Lineage of the Seven Buddhas.⁴ The school of these two texts is uncertain; since neither is overtly Mahāyānistic, they may be described as mainstream *svastigāthā*.

Though not described as such, the last verse of Vasubandhu's Gāthāsamgraha is a typical svastigāthā:⁵

May the world be happy, may there be a good harvest; may grain be ample, may government be righteous; may all illness and harm disappear!

In his commentary Vasubandhu notes that the verse is a wish ($smon\ lam = pranidh\bar{a}na$) for the absence of fear of various kinds of harm, which he describes in some detail.

I have not come across any examples of an equivalent Pali term — sotthi-gāthā or sovatthi-gāthā. The numerous Pali chants — both canonical (such as the Ratana-sutta) and extra-canonical — that contain refrains like etena saccavajjena suvatthi hotu may, however, reasonably be classed as sotthi-gāthā. The title mangala-gāthā is common in Pali.²

¹ J. Takakusu, tr., A Record of the Buddhist Religion as Practised in India and the Malay Archipelago, [London, 1896] New Delhi, 1986, pp. 41–42, 46, 48–49, 152, 166. I-ching does say that he has translated such gāthās; Takakusu (p. 48 note 1) refers to the "Rules of Confession", Nanjio 1506 [= T 1903, KBC 1084]. Cf. Hōbōgirin I 93 foll. ("Bombai").

² See also Soothill and Hodous, *A Dictionary of Chinese Buddhist Terms*, [London, 1937] Delhi, 1977, pp. 285a, 330b.

³ Bkra sis kyi tshigs su bcad pa, Q 449, Vol. 9, rgyud, tsha, 321a8; Q 724, Vol. 11, rgyud, ya, 278b6; Q 5954, Vol. 150, no mtshar bstan bcos, mo, 343a; translated by Feer, AMG V pp. 471-74. The attribution of the text to Slob dpon 'phags pa klu sgrub is in Q 5954. The text is not mentioned in Chr. Lindtner, Nagarjuniana, Copenhagen, 1982, pp. 11-17.

⁴ Sans rgyas rabs bdun gyi bkra sis kyi tshigs su bcad pa, Q 444, Vol. 9, rgyud, tsha, 319b; Q 725, Vol. 11, rgyud, ya, 280a3. Both this and the preceding text are translated in *The Sublime Path of the Victorious Ones*, Dharamsala, 1981, pp. 83–87.

⁵ A. Schiefner, "Über Vasubandhu's Gāthāsaṃgraha", Mélanges Asiatiques, St.-Pétersbourg, 1878, p. 566; Q 5603, Vol. 119, mnon pa'i bstan bcos, nu, 241a4-5; commentary, Q 5604, Vol. 119, mnon pa'i bstan bcos, nu, 285b1-86a4.

¹ Table 1.1 shows that the Siamese edition of the *Milinda-pañha* includes a *Suvatthi-paritta*. Taking the other lists into account, this might be the *Ratana-sutta*, which has the refrain *etena saccena suvatthi hotu*. Cf. also *PTSD* 725b, *sotthikamma*, *sotthikāra*, *sotthivācaka*. In the *Suppāraka-jātaka* (*Jātaka* 463, Vol. IV 142) the *bodhisatta* performs an act of truth (for which see below, § 5) by reciting a verse over a bowl of water, after reflecting, "Apart from myself there is no one whatsoever able to save (*sotthibhāvaṃ kātuṃ*) these people: by means of an act of truth I will bring them to safety (*saccakiriyāya tesaṃ sotthiṃ karissāmi*).

² See the texts listed in § 1, pp. 122–23, and also Dhammananda 1992 p. 440, Sabbajayamangala-gathā.

4. The Pañcarakṣā collections1

The $Pa\tilde{n}caraks\bar{a}$ or Five Protections were extremely popular in Northern India, Nepal, and Tibet, as may been seen from the numerous manuscripts kept in libraries around the world. Their study is complicated by the fact, belied by a general similarity of titles, that there exist (at least) two different collections, a Tibetan and a Sanskrit, which have only three texts in common: the study therefore involves seven rather than five texts. Since the Tibetan versions were translated in about 800 A.C., and since the lDan dkar ma Catalogue treats them as a separate category under the title gZuns chen po lna = <math>Panca

mahādhāraṇī,¹ the available evidence for the Tibetan collection is earlier than that for the North Indian-cum-Nepalese collection, which survives only in manuscripts from the 11th century on. Fragments of only two Pañcarakṣā texts, the Mahāmāyūrī and the Mahāsāhasrapramardanī, have been found in Central Asia; fragments of the Mahāpratisarā were found in Gilgit.² The Pañcarakṣā was not transmitted as a collection in the Chinese Tripiṭaka, although there are independent translations, all, except for several versions of the Mahāmāyūrī, quite late.³ Table 3 shows the relationship between the two collections and independent Tibetan or Chinese translations, and paritta and other rakṣā texts. In the following summary of the contents of the seven texts, I will present them in the order of the table: the first three are similar in their Tibetan and Sanskrit versions, while the last four are grouped by their (similar) titles, 4a and 5a referring to the Tibetan versions, 4b and 5b to the Sanskrit.⁴

1. Mahāpratisarāvidyārājñī

The *Mahāpratisarāvidyārājñī*,⁵ which is similar in its Sanskrit and Tibetan versions, may be classed under Mahāyāna: the assembly includes

¹ For the present study I have used Takubo's edition of the Mahāmāyūrī in Sanskrit (MhMVR(T)). For the remaining Sanskrit versions, I originally had access only to the summaries in Rajendralala Mitra's The Sanskrit Buddhist Literature of Nepal, [1882] Indian reprint, 1981, pp. 164-69 and in M. Winternitz and A. Keith, Catalogue of Sanskrit Manuscripts in the Bodleian Library, Vol. II, Oxford, 1905, pp. 257-59; I also made desultory attempts at the two late Nepalese manuscripts reproduced by Lokesh Chandra, Pañca-rakṣā, New Delhi, 1981 (Sata-Pitaka Series Vol. 267). Only when the paper was in its final draft did I receive (courtesy Dr. Paul Harrison) copies of Iwamoto's romanised editions of the Sanskrit versions of the Mahāpratisarā, Mahāsāhasrapramardanī, and Mahāsītavatī. For the Tibetan translations I have used the Derge (D) edition of the Kanjur. The present section summarises my "Note on the Pañcarakṣā", delivered at the 10th Conference of the International Association of Buddhist Studies, Paris, July, 1991; the revised version of that paper, which I am preparing for publication, will give fuller bibliographical details.

² On the whole the *Pañcarakṣā* seem to have been rather neglected in the West since Lévi's work on the *Mahāmāyūrī* (Lévi 1915). The best modern discussion (with a comprehensive bibliography) is Pentti Aalto's "Prolegomena to an Edition of the Pañcarakṣā" (*Studia Orientalia* XIX:12, Helsinki, 1954, pp. 5–48); see also the introduction to the same scholar's edition of the Mongolian versions, *Qutut-tu Pañcarakṣā Kemekü Tabun Sakiyan Neretü Yeke Kölgen Sudur*, Wiesbaden, 1961, pp. 1–5. It seems to have been Aalto who first recognised that the Sanskrit and Tibetan collections are discrepant, at least for the *Mahāmantrānudharaṇi*: see the latter work, p. 1, note 1.

¹ Lalou 1953 § XIII, p. 327.

² Oskar von Hinüber, *Die Erforschung der Gilgit-Handschriften*, Göttingen, 1979, Anhang I, nos. 6, 15 and 17.

³ Aalto's statement ("Prolegomena", p. 7) that there are no Chinese translations of the "Mahāsītavanī and Mahāmantra-anudhārī" (his spellings) needs clarification. The Mahāśītavatī and Mahāmantrānusārinī of the Sanskrit collection are both found in Chinese: out of the "Seven Rakṣā", only the Tibetan Mahāśītavana and Mahāmantrānudharani have no Chinese (or surviving Sanskrit) counterparts.

⁴ The titles themselves pose difficulties. For the Sanskrit versions I have followed Iwamoto (see also the "internal list" at *Mahāsāhasrapramardanī* 41.7); for the Tibetan versions I have provisionally chosen what seems to me the most probable of the variant transcriptions given at the head of the Tibetan translations.

⁵ A romanised edition of the Sanskrit was published by Yutaka Iwamoto in *Pañcaraksā* II, Kyoto, 1938.

a vast number of bodhisattvas, and there are references to bodhicitta and to the Mahāyāna itself. In addition to offering protection against a wide variety of ills, the mantra can confer enlightenment: in this it goes further than the other Pañcaraksā texts, which only offer protection.

2. Mahāmāyūrī

The Mahāmāyūrī (also similar in Sanskrit and Tibetan) is the longest of the "seven Rakṣās"; it is a composite work, rather complex in stratigraphy. The oldest layer and raison d'être is the account of the monk Svāti with its mantra and jātaka, parallel to that of the Bhaiṣajyavastu of the Mūlasarvāstivādins, the jātaka parallel to the Pali Morajātaka. To this are added a verse found in the Morajātaka but not in the Bhaiṣajyavastu, verses on protection against snakes found in the (Mūla-)Sarvāstivādin Upasena-sūtra and the Pali Vinaya and Khandhaparitta, and verses common to the first Dhvajāgra-mahāsūtra, the Bhadrakarātrī-sūtra, and the Vaiśālīpraveśa. The list of yakṣas is close to that of the Āṭānāṭika-sūtra, and some of its phraseology must have been influenced by or drawn from a common source as that of that text.

Other elements include the cult of the Seven Buddhas and their trees; lists of *nāgas* and a variety of divine, daemonic, and supernatural beings; lists of rivers, mountains, *nakṣatras*, *grahas*, and "sages of the past". A characteristically thorough summary of the contents was made by Lévi in 1915 (pp. 19–22), so I need not go into more detail here.

3. Mahāsāhasrapramardanī

The Mahāsāhasrapramardanī,¹ in both its Sanskrit and Tibetan versions, enshrines a complete *Ratna-sūtra, concealed by a tangled overgrowth of mantras and long verses.² That this is its original kernel is clear from the narrative framework, which belongs to the "Ratna-sūtra-Vaiśālī miracle" tradition: the Buddha at Rājagṛha, the calamity at Vaiśālī, and the assembly of deities (pp. 1–2); the Buddha's departure for Vaiśālī, the offering of the divine umbrellas, the decoration of the route, and the indrakīla (pp. 21–23); the appeasement of the calamity (p. 29).

4. Mahāśītavana / Mahāśītavatī

4.a. The Tibetan $Mah\bar{a}\dot{s}itavana$ in some ways resembles the $\bar{A}t\bar{a}n\bar{a}tika-s\bar{u}tra$. The title derives from the location, the Śitavana at Rājagṛha. The structure and purpose of the $nid\bar{a}na$ — though not the actual phrasing — parallel that of the $\bar{A}t\bar{a}n\bar{a}tika$: both texts feature the Four Great Kings, who express concern for monks, nuns, laymen, and laywomen dwelling in remote places, where they are threatened by spirits who have no faith in the Buddha. Only a few verses are common to the two texts. Like the Pali $\bar{A}t\bar{a}n\bar{a}tiya$ (but not the Sanskrit, Tibetan, or Chinese versions), the $Mah\bar{a}\dot{s}itavana$ gives at the opening a set of verses of homage to past Buddhas; the $Mah\bar{a}\dot{s}itavana$ list of 17 Buddhas is almost identical to those of the $Mah\bar{a}vastu$, the $Mah\bar{a}karun\bar{a}pundarika-s\bar{u}tra$, and the Chinese $Abhiniskramana-s\bar{u}tra$.

4.b. The Sanskrit *Mahāšītavatī* is quite different.³ The Buddha imparts a long *mantra* to Rāhula, who has been harrassed by a miscellany of

¹ In addition to Takubo's edition, there is that of S. Oldenburg, "Mahāmāyūrī vidyārājñī", "Otryvki Kasgarskich i sanskritskich rukopisej iz sobranija N.F. Petrovskago, II, Otryvki iz Pañcarakṣā", Zapiski vostočnago otdelenija imperatorskago russkago archeologičeskago obščestva 11 (1897–98), pp. 218–61. See also A.F. Rudolf Hoernle, The Bower Manuscript, Calcutta, 1893–1912, pp. xciv-xcv, 222–40e, and SHT (I) 63, 375, 524, (V) 1459.

¹ A romanised edition of the Sanskrit was published by Iwamoto in *Pañcarakṣā* I, Kyoto, 1937. Fragments were also published by Oldenburg, *op. cit.*, pp. 215–18, 261–64 (= Iwamoto pp. 35.2–37 penult.), and in *SHT* (III) 983, 1011.

² Iwamoto 24.24–26.22; in Lokesh Chandra, *Pañca-rakṣā*, the **Ratna-sūtra* occurs at *Manuscript A* 112.5 foll., *Manuscript B* 156.1 foll.

³ A romanised edition of the Sanskrit was published by Yutaka Iwamoto in *Kleinere Dhāraṇī Texte*, Kyoto, 1937.

malignant beings whilst dwelling in the Śītavana. The phraseology is typical of $rakṣ\bar{a}$ literature, but otherwise the text does not have much in common with the other $six\ rakṣ\bar{a}s$; furthermore, it is the only text of the seven that is entirely in prose. The Sanskrit title (and that of the Chinese translation¹) derives from the name of the $dh\bar{a}ran\bar{i}$ or $vidy\bar{a}$.² A Tibetan translation, not classed under $Pa\bar{n}carakṣ\bar{a}$, bears the title $Mah\bar{a}dandah\bar{a}ran\bar{i}$, which in this version is the name of the $dh\bar{a}ran\bar{i}$; otherwise the Sanskrit, Tibetan, and Chinese versions are quite close.

5. Mahāmantrānudharani / Mahāmantrānusārinī

5.a. As far as I have been able to determine, the Mahāmantrānudharaṇi of the Tibetan collection is not extant in Sanskrit or Chinese. The first two thirds of this text are taken up by a brief preamble, the nidāna, assorted mantras, and lists of rākṣasīs. The last third is extremely interesting: it contains material drawn from about ten sources, including verses common to the Udānavarga and the Sarvāstivādin and Mūlasarvāstivādin Prātimokṣa-sūtras, 26 ines of verse corresponding to the (Mūla-)Sarvāstivādin Candra-sūtra (and thus parallel to the Pali Canda-paritta), and a series of satyavāks linked with a list of agraśrāvakas and of agraprajñaptis. The section may be described as a paritta collection or paritta extracts of an unknown school.

5.b. The *Mahāmantrānusāriņī* of the Sanskrit collection is completely different: it is none other than a recension of the *Vaiśālīpraveśa-mahāsūtra* discussed above (§ 2). The title derives from the name of the *mantra* as given in the Sanskrit *Mahāmantrānusāriņī*³ but not in the Tibetan *Vaiśālīpraveśa*. Apart from this, and the fact that the Sanskrit omits verses 16 and 17 of the Tibetan, the two versions are very close.

Out of the seven $Pa\bar{n}caraks\bar{a}$ texts, only one, the $Mah\bar{a}$ - $pratisar\bar{a}vidy\bar{a}r\bar{a}j\bar{n}\bar{i}$, belongs to the Mahāyāna; the remaining six may be
classed under the Śrāvakayāna $raks\bar{a}$ literature.\(^1\) (My assertion that these
texts belong to the Śrāvakayāna is based on a literal reading of their
contents. There is no doubt that they were [and are] used by
practitioners of the Mahāyāna and Vajrayāna. The difference is one of
context [and this may apply to other $raks\bar{a}$ texts]: if combined with
preliminary rites involving the generation of the bodhicitta, they become
Mahāyānist in application; if conjoined with further rites of initiation,
entry into a mandala, or the visualization of the Pañcaraksā deities, they
become Vajrayānist in application. Numerous $s\bar{a}dhanas$ for the
realization of these deities are found in Sanskrit and Tibetan, and the
deities are depicted in illuminated manuscripts — North Indian,
Nepalese, and Tibetan — of the Pañcarakṣā. They are not, however,

¹ T 1392, KBC 1104.

² Iwamoto, pp. 2.8, 4.14, 5.15.

³ Imāni mahāmamtrānusāriņīmantrapadāni, in Lokesh Chandra, Pañca-rakṣā, A 236.4, 241.3, B 363.1, 370.1.

¹ To determine whether a text belongs to the Śrāvakayāna or Mahāyāna, I follow five guidelines: teacher, place, audience, doctrine, and goal. A Śrāvakayāna sūtra is (1) taught by Śākyamuni (or by other "historical" Buddhas of past or future) or by one of his disciples, (2) at one of the North Indian sites which he frequented, (3) to an audience of disciples; (4) its doctrine agrees with that of the Āgama/Nikāya tradition, and (5) its highest goal is arhathood. A Mahāyāna sūtra is (1) taught by Śākyamuni, by a "non-historical" Buddha such as Vairocana, or by a bodhisattva, (2) at one of the historical sites or on another plane of existence such as a distant universe or Buddhafield, (3) to an audience that includes bodhisattvas; it (4) teaches voidness and non-origination as in the Prajñāpāramitā, and (5) recommends to all the bodhisattva path aiming at full enlightenment. The last item entails vows (pranidhāna), the aspiration to enlightenment (bodhicitta), the prediction (vyākarana), and the perfections (pāramitā) and levels (bhūmi) of a bodhisattva (see here R.E. Emmerick, The Book of Zambasta, London, 1968, p. 187, and Candrakīrti as cited in Anthony K. Warder, "Original" Buddhism and Mahāyāna, Turin, 1983, p. 8). The Mahāsāhasrapramardanī (34.12-20) does mention Aksobhyarāja, Avalokiteśvara, and Amitäbha, but since they play no role whatsover in the sūtra they may be treated as one of its many elements drawn from popular lore. Since the same sūtra also lists all five Pañcaraksā titles, it evidently continued to grow after the Pañcaraksā group had come into being.

invoked or described in the $Pa\tilde{n}caraks\bar{a}$ texts properly speaking, except insofar as their names correspond to those of the mantras.¹)

Of the six Śrāvakayāna raksās, the Sanskrit Mahāśītavatī (= Tibetan Mahādanda-dhāranī) does not contain any elements (apart from phraseology) common to the others, or to the paritta, Mahāsūtras, or svastigāthā: it is simply a raksā mantra with minimal narrative framework. The remaining five may be described as Śrāvakayāna rakṣās par excellence. All have paritta at their heart, and are expanded by preambles, by verses of homage, by mantras and praises of mantras, by lists of deities, by descriptions of rites, and so on: they are composite compilations that must have evolved over several centuries. All contain common elements, such as the cults of past Buddhas, the Four Kings, and deities such as yaksas, etc., common verses, and common phraseology. The manner in which the parittas are buried in such long lists of deities and supernormal beings may be compared with the paritta ceremony of Sri Lanka, which contains a long admonition listing similar deities, and can go on all night or for seven days.² If a collection of Sri Lankan parittas were published along with all such preliminaries, admonitions, ceremonies, and rites, in both contents and length it would resemble one of the composite Pañcaraksā texts, minus, of course, the mantras.

5. Rakṣā phraseology

A certain phraseology characterises the *rakṣā* literature. One frequent element is the "profession of truth" (*satya-vāk*, *satyādhiṣṭhāna*). In Mūlasarvāstivādin literature we find *etena satyavākyena svasty ānandāya*

bhikṣave in the Śārdūlakarṇāvadāna, anena satyena satyavākyena in the Prātihārya-sūtra, and tena me satyavākyena in the Upasena-sūtra.¹ In Theravādin literature we have the refrain of the Ratana-sutta, etena saccena suvatthi hotu,² and similar phrases in numerous extra-canonical paritta. In Lokottaravādin literature there is the etena satyena susvasti bhotu of that school's version of the Ratana-sutta;³ the Mahāsāhasrapramardanī version of the same has etena satyena ihāstu svasti.⁴ The Prajñāpāramitā uses anena satyena satyavacanena.⁵

In the Milindapañha, King Milinda states that "by truth (saccena) truth-speakers (saccavādino) perform an act of truth (saccakiriyam katvā), and cause rain to fall, put out fire, counteract poison, or perform various feats as required". At the conclusion of his discussion of saccakiriya, Nāgasena says, "there is no aim at all that those established in the truth do not accomplish". In the Prajñāpāramitā (loc. cit.) the success of an act of truth indicates that a bodhisattva has reached the irreversible stage. In the Bhadrakalpika-sūtra it is said that through satyavāk miracles (prātihārya) arise from relics. 8

¹ The *Mahāmāyūrī* (Takubo, 37.17) does give the names of several *Pañcarakṣā* deities (without describing them as such) within a long list of deities: *mahā-pratisarāya svāhā*, śītavanāya svāhā, etc.

² L. de Silva, pp. 51-52.

¹ Divy 613.9 and 154.25, and Upasena-sūtra (1) 41.2, respectively.

 $^{^2}$ Sn vv. 224–35; further examples and references are given by Burlingame (see note 3 on p. 146) p. 434.

³ Mahāvastu I 236.16 etc.

⁴ Mahāsāhasrapramardanī 25.1 etc.

⁵ Aṣṭasāhasrikā-prajñāpāramitā (BST 4) 189.12-191.25; 247.10-16; Edward Conze, The Gilgit Manuscript of the Aṣṭādaśasāhasrikāprajñāpāramitā, Chapters 55 to 70, Rome, 1962, pp. 5.5-8.6; cf. also Ratnaguṇasaṃcaya-gāthā XX 23-24, XXI 1, in P.L. Vaidya (ed.), Mahāyāna-sūtra-saṃgraha Part I (BST 17), Darbhanga, 1961. See also SHT (VI) 1259.

⁶ Milindapañha, Chatthasangīti edition, 124.8. Milindapañha 123-26 (= PTS ed. I 119-23) has a long discussion of saccakiriya.

⁷ Milindapañha, Chatthasangīti edition, 126.19, sacce thitā na kiñci attham na vindanti.

⁸ The Fortunate Aeon, Vol. I, Dharma Publishing, Berkeley, 1986, p. 474.15, bden pa'i tshig gis rin bsrel las cho 'phrul 'byun ba.

Raksā literature

The "profession of truth" goes beyond the $rak s\bar{a}$ literature (though the boundary is not always clear) into the $j\bar{a}takas$, 1 Buddhist drama, 2 and Indian literature in general: the $Mah\bar{a}bh\bar{a}rata$, the $R\bar{a}m\bar{a}yana$, as well as vernacular folktales and Jaina literature. 3 While in such cases the $satyav\bar{a}k$ is a narrative device — a specific act performed by a specific person with specific results — as a $rak s\bar{a}$ properly speaking it is anonymous and generalised.

The satyavāk is sometimes combined with versions of the agra-prajñapti formula: examples occur in the Prātihārya-sūtra,⁴ the Mahā-mantrānudharaṇi-sūtra,⁵ and the (Ārya) Sarvarogapraśamani-dhāraṇī.⁶ Similarly, a non-canonical Pali text entitled Parittakaraṇa-pāṭha

combines the prose of the *Aggappasāda-sutta* (AN II 34–35) with the verses of the *Ratana-sutta*. Satyavāk phrases are also incorporated into mantras, which sometimes invoke the power of "truth-speakers" (satya-vādinām).²

Other elements occur in connection with supernormal or daemonic beings. Lists of such beings are often given first in male and then in female form:

yakkho vā yakkhiņī vā yakkha-potako vā °potikā vā °mahāmatto vā °pārisajjo vā °pacāro vā;³

gandharvo vā gandharvī vā gandharvamahallako vā °mahallikā vā °potalako vā °potalikā vā °pāriṣado vā °pāriṣadī vā °pracaro vā °pracarī vā;⁴

devo vā devā vā devaputro vā °duhitā vā °mahallako vā °mahallikā vā °pārṣado vā °pārṣadī vā ;⁵

Similar lists occur in the Lankāvatāra-6 and Mahābala- sūtras.7

A stock phrase (or variants thereof) is used for the action of a malignant spirit who seeks an opportunity or chance to do harm: avatārapreksy

¹ See Jātakamālā II, XIV, XV, XVI, and Sitaram Roy (ed.), Suvarņavarnāvadāna, Patna, 1971, §§ 159, 163-65, 201-02.

² Candragomin's Lokānandanātaka, tr. Michael Hahn, Joy for the World, Berkeley, 1987, V 40 p. 130.

³ Cf. E.W. Burlingame, "The Act of Truth (Saccakiriya): A Hindu Spell and its Employment as a Psychic Motif in Hindu Fiction", in JRAS, 1917, pp. 429-67; W. Norman Brown, "The Basis for the Hindu Act of Truth", in The Review of Religion, Vol. V, no. 1, Nov. 1940, pp. 36-45; (same author) "The Metaphysics of the Truth Act (*Satyakriyā)", in Mélanges d'Indianisme à la Mémoire de Louis Renou, Paris, 1968, pp. 171-77; (same author) "Duty as Truth in Ancient India", in Rosane Rocher (ed.), India and Indology: Selected Articles by W. Norman Brown, Delhi, 1978, pp. 102-19; Heinrich Lüders, "Die magische Kraft der Wahrheit im alten Indien", Zeitschrift der Deutschen Morgenländischen Gesellschaft, Band 98 (Neue Folge Band 23), Leipzig, 1944, pp. 1-14; Alex Wayman, "The Hindu-Buddhist Rite of Truth — an Interpretation", in Bhadriraju Krishnamurti (ed.), Studies in Indian Linguistics (Professor M.B. Emeneau Şaştipūrti Volume), Annamalainagar, 1968, pp. 365-69 (rep. in George R. Elder (ed.), Buddhist Insight, Delhi, 1984, pp. 391-97); Peter Khoroche, Once the Buddha was a Monkey: Ārya Śūra's Jātakamālā, Chicago, 1989, p. 258 (note 6). (I am grateful to Prof. Oskar von Hinüber for promptly sending me copies of several of these articles.)

⁴ Divy 154.19 foll.

⁵ D 563, rgyud 'bum, pha, 155a4 foll.

⁶ O 207, Vol. 7, rgyud, pha, 276a2 (tr. by Feer, AMG V, 462).

¹ Royal Chanting Book pp. 101–03; Mahābraḥbuddhamanta 12.5.3.

² Dhvajāgrakeyūra-dhāraṇī; Sarvatathāgatādhiṣthāna-vyūha, GM I 67.5,6; 76.8.

³ Āṭānāṭiya Pali, DN III 203.7: and so for gandhabba, kumbhāṇḍa, nāga.

⁴ Āṭānāṭika Sanskrit, p. 59.7: and so for piśāca, p. 61, kumbhānḍa, p. 65, and so on.

⁵ MhMVR(T) 10.20 foll., in what is probably the longest such list, since it gives 20 different beings.

⁶ Saddharmalankāvatārasūtram 106.11 foll.

⁷ Mahābala-sūtra 27.1 foll.

avatāragaveṣī, and fails or will fail to do so, avatāram na lapsyate. There is a recurrent curse "may so-and-so's head split into seven pieces": saptadhāsya sphalen mūrdhā.²

Common also is the "escape clause" which, after lauding the multiple and powerful effects of a mantra or other rakṣā, notes that it might not succeed "due to the fruition of past karma" (varjayitvā paurāṇaṃ karmavipākam, or variants thereof), found, for example, in the Śārdūlakarṇāvadāna,³ the Lalitavistara,⁴ the Mahāsāhasrapramardanī,⁵ the Mahāmantrānudharaṇi,⁶ the Pratyutpannabuddha-saṃmukhāvasthita-samādhi-sūtra,¹ the Aṣṭasāhasrikā-prajñāpāramitā,⁶ and the Ārya-avalokiteśvara-ekādaśamukha-nāma-dhāraṇī,⁶ Bhavya

comments on the phrase in his $Tarkajv\bar{a}l\bar{a}.^1$ The same idea — though not the exact phrase — is found in the $Milindapa\bar{n}ha$: Nāgasena explains that paritta may not be take effect because of the obstruction ($\bar{a}varana$) of $kamma.^2$ The extra-canonical Pali Unhissavijaya promises protection from death due to a variety of causes, "except for timely death" ($k\bar{a}lam\bar{a}ritam$), that is, "natural death" as determined by one's karmic life-span.³

The escape clause is characteristic of only some (earlier? Śrāvakayāna?) $rakṣ\bar{a}$ texts; others promise unqualified results. The *Aparimitāyuḥ Sūtra* states that for one who copies the $s\bar{u}tra$ or causes it to be copied, the obstructions ($\bar{a}varaṇa$) of the five deeds of immediate retribution ($\bar{a}nantarya\ karma$) and sins even as great as Mt. Meru will all be wiped clean.⁴

Other elements are long lists of diseases⁵ or calamities against which protection is offered.⁶ Another phrase refers to the marking of a (protective) boundary (sīmābandha).⁷

¹ Āṭānāṭika 59.13 etc.; Saddharmapuṇḍarīkasūtra 233.31; Aṣṭasāhasrikā-prajñāpāramitā 28.13; PraS (I) 118.3.

² Āṭānāṭika 57.24; Saddharmapuṇḍarīka 235.10; Śikṣāsamuccaya 141.9; Mahāsāhasrapramardanī 37.7; SHT (III) 900, 903, 906, 984; SHT (VI) 1269, 1310. In Pali the phrase occurs in the Canda- and Suriya-parittas (SN I 50.33, 51.22), and at DN I 94.24, MN I 231.29, Jātaka V 92.8, Sn 983, 1026; see also DN I 143.13, III 13.28. Cf. A. Syrkin, "Notes on the Buddha's Threats in the Dīgha Nikāya", JIABS Vol. 7, no. 1, pp. 147–58. The curse also occurs in the Rāmāyaṇa: see William L. Smith, "Explaining the Inexplicable: Uses of the Curse in Rāma Literature", in Kalyāṇamitrārāgaṇam. Essays in Honour of Nils Simonsson, Oslo, 1986, p. 264. The phrase (in the first person) was also used in oaths.

³ Divy 614.14.

⁴ BST 1, p. 318.5.

⁵ Iwamoto 41.4.

⁶ D 563, 154a4. The fifth section (gnas skabs) of Karmavajra's (Las kyi Dorje's) commentary to this sūtra is devoted entirely to this phrase, and contains a long citation of a Karmavibhanga-sūtra: D 2692, rgyud, du, 269a5–72a2.

⁷ Sanskrit in *PraS* (II) 298.4; Tibetan in *PraS* (I) 14D, p. 118.13, 24; 14J, v. 14 (p. 124.3).

⁸ BST 4, pp. 28.14, 19, 24; 38.21; 44.23. Cf. commentary in Padmanabh S. Jaini (ed.), Sāratamā, A Pañjikā on the Aṣṭasāhasrikā Prajñāpāramitā Sūtra, Patna, 1979, p. 37.10–13.

⁹ Q 524, Vol. 11, 'a, 212b4 = GM I 36.4; translated by Feer, AMG V 434.

¹ D 3856, dbu ma, dza, 185b2, ci'i phyir snon gyi las kyi rnam par smin pa ni ma gtogs so żes bstan ce na?...

² Milindapañha (Chatthasangīti ed.) 152-55, (PTS ed.) I 150-54.

³ Mahābraḥbuddhamanta p. 113.

⁴ Sten Konow, The *Aparimitāyuh Sūtra*, in A.F. Rudolf Hoernle, *Manuscript Remains of Buddhist Literature*, [Oxford, 1916] Amsterdam, 1970, pp. 310–12. Cf. also *Sarvatathāgatādhisthāna-vyūha*, *GM* I 54–55

⁵ MhMVR(T) 4.2, etc.; PraS 14D; Sīrimangalaparitta 29, Cakkaparitta, § 9.

⁶ AN V 342.1–14 (Metta-sutta); Sīrimangalaparitta, Parittaparikamma, v. 9; Megha-sūtra 294; Aṣṭasāhasrikā-prajñāpāramitā 38.7–15; PraS 14C, 14D; Ekādaśamukha, GM I 37.5–11; Sarvatathāgatādhiṣṭhāna-vyūha, GM I 57.8– 13.

⁷ MhMVR(T) 3.14, etc.; Suvarņaprabhāsa-sūtra 56.14; Hayagrīva-vidyā, GM I 45.5.

6. Rakṣā and mantra

The sometimes confused relationship between mantra and dhāranī has been clarified by several scholars. While the two terms might at times be synonymous, the latter has a much broader meaning: a faculty or facility in retaining or remembering the teaching of the Buddha(s), hence "retention" or "memory" (Lamotte's souvenance). This is shown by the context in which it occurs in the Mahāyāna sūtras and the definitions given in the śāstras, which connect it with smrti. The Mahāprajñāpāramitāśāstra classifies dhāranī in abhidharmic terms under dharmadhātu, dharmāyatana, and samskāra-skandha: it is either "associated with mind" or "dissociated from mind" (cittasamprayukta, cittaviprayukta), impure or pure (sāsrava, anāsrava); it is formless or immaterial (ārūpya), invisible (anidarśana), non-resistant (apratigha), and knowable by mental-consciousness (manovijñāna).² Asanga gives a fourfold definition of the term; of these it is the third, mantra-dhāranī, with which I am concerned: "mantra-syllables for the appearement of the calamities of beings (mantrapadāni ītisamśamanāya sattvānām).³

As far as I have been able to determine, mantra (or mantrapada), along with $rakṣ\bar{a}$ and $vidy\bar{a}$, is the preferred term in $rakṣ\bar{a}$ literature, at least in the main texts studied here, none of which employ the word $dh\bar{a}ran\bar{i}$ (except in titles). Scholars often use the two words interchangeably; it would be more accurate, however, to use the word actually employed in the text under consideration. Since mantra is the general term of preference in the $raks\bar{a}$ literature dealt with here, I will use that term.

For present purposes, I would like to classify mantras into two types: protective mantras (the mantra-dhāraṇī of Asanga) and — for want of a better term — spiritual mantras. Protective or rakṣā mantras are recited for worldly or mundane ends: to ward off calamity, disease, or malignant beings, and to promote welfare. The mantras of the Śrāvakayāna and of the early Mahāyāna sūtras belong to this category. At an uncertain date, but, on the evidence of the Wu dynasty translation of the Anantamukhanirhāradhāranī,³ not later than the second century A.C., mantras were given a spiritual application: their recitation not only granted protection and welfare, but could lead to enlightenment (bodhi) itself. They became associated with symbolic hand-gestures (mudrā), complex rites (vidhi, kalpa), consecrations (abhiseka), mandalas, and visualization. These are the mantras of some Mahāyāna sūtras and of the Vajrayāna. In the

¹ Especially valuable are Lamotte's translation and notes at *Mppś* I 317–21 and 328, his long note at *Mppś* IV 1854–64 and the following translation (1864–69), and Braarvig 1985. Cf. also Edward Conze, *The Large Sutra on Perfect Wisdom with the divisions of the Abhisamayālankāra*, Berkeley, 1975, p. 21; de Jong 1984 pp. 95–96, and Matsunaga 1977 pp. 169–71. For *mantra*, see Alex Wayman, "The Significance of Mantras, from the Veda down to Buddhist Tantric Practice", *The Adyar Library Bulletin*, Vol. XXXIX, 1975, pp. 65–89 (reprinted in *Buddhist Insight*, pp. 413–30); for *dhāraṇī*, see *BHSD* 284b, and *Encyclopaedia of Buddhism*, Vol. IV, fasc, 4, pp. 515–20.

² Mppś I 317. Cf. also the definition in Corrado Pensa, L'Abhisamayālamkāravṛtti di Ārya-vimuktisena, Rome, 1967, pp. 101-02.

³ Cf. *Mppś* IV 1857–59 and Braarvig 1985 pp. 19–20. The latter's suggestion that *dhāraṇī* in the compound *mantra-dhāraṇī* does not itself mean a spell, but rather a facility in retaining or remembering spells, and his translation "retaining a formula in the mind" are quite apt.

¹ The term dhāraṇīmantrapada occurs in the Megha-sūtra, p. 298.11. Vijjā in the sense of spell or charm occurs in the Pali Canon, where several spells are mentioned by name: see Encyclopaedia of Buddhism Vol. IV, fasc. 1, "Charms", pp. 130–34. For this and other terms, see David L. Snellgrove, Indo-Tibetan Buddhism, Indian Buddhists and their Tibetan Successors, London, 1987, pp. 122, 141–44.

² Waldschmidt, for example, describes the mantras of the Tibetan Mahāsamāja as Dhāraṇīs, although the text describes them as mantrapada (gsan snags kyi tshig): E. Waldschmidt, Bruchstücke Buddhistischer Sūtras aus dem Zentralasiatischen Sanskritkanon (Kleinere Sanskrit-texte, Heft IV), Leipzig, 1932, p. 197.

³ See below, p. 164.

Rakṣā literature

present paper, I am only concerned with the first type, protective or raksā mantras.

Mantras are most commonly introduced by tadyathā, but also by syādyathedam.¹ Of the Pañcarakṣā texts, the Mahāsāhasrapramardanī² and the Mahāsītavana use syādyathedam;³ the Mahāmantrānudharaṇi uses syādyathedan once, but otherwise tadyathā; the other texts use tadyathā. The Sarvatathāgatādhiṣṭhāna-vyūha uses saṃyyathīd[aṃ] (GM I 71.9; some Central Asian Sanskrit fragments have saryathidaṃ;⁴ the Tibetan translation of the Hastiratnadharmaṃyeti (?) has satya thedan (?).⁵ Khotanese versions of the Anantamukhanirhāra-dhāraṇī introduce the dhāraṇī-mantra with syādathidaṃ, syādathedaṃ, and syād yathyidaṃ.⁶ (Edgerton notes the forms sayyathādaṃ and sadyathīdaṃ for the Mahāvastu only;ⁿ the related sayyathāpi (and saṃyathāpi) nāma occurs in the Lokottaravādin Bhikṣuṇī Vinaya.⁶ In the Mahāmāyūrī, the form saṃyathedaṃ occurs.⁶ In none of these cases are the phrases connected with mantras.) The Pali Mahādibbamanta and Sut Catuvik introduce their mantras with seyyathādam.¹¹0

Mantras conclude with $sv\bar{a}h\bar{a}$ in Sanskrit or $sv\bar{a}h\bar{a}ya$ (or $sv\bar{a}h\bar{a}yya$) in Pali.¹ In Tibetan translations text between $tadyath\bar{a}$ and $sv\bar{a}h\bar{a}$ is usually translated rather than translated.

Mantras include both unintelligible and intelligible elements. The former include phrases like hulu hulu,² hili hili,³ mili mili,⁴ or hili mili⁵ — hile mile⁶ — ili mili⁷ — iți miți,⁸ common to a number of texts. The ubiquitous hulu hulu is one of the earliest attested mantras, since it occurs in Lokakṣema's Chinese version of the Drumakinnārarāja-pariprcchā, translated between 168 and 172 A.C.;⁹ it is also one of the most widespread since it occurs in South-east Asian Pali texts. Though unintelligible, the phrases are not arbitrary (nor the "gibberish" nor the "mumbo jumbo" of earlier scholars), and they are explained in the commentaries. (According to Asaṅga, mantras are indeed "without meaning", but in the sense that all dharmas are without meaning.¹⁰) The

¹ Pauly 1959 pp. 216, 225.

² Iwamoto, 4.21, 5.8, etc.

³ D 562, 140b1, etc.; in Tibetan usually transliterated as *syādyathedan*. See also Dharmasāgara-nāma-dhāraṇī, Q 310, Vol. 7, *rgyud*, *ba*, 84a3 and passim = D 654, *rgyud*, *ba*, 146b7.

⁴ So transcribed at SHT (III) 842, R3; 900, V1.

⁵ Christopher Wilkinson, "The Tantric Ganeśa Texts Preserved in the Tibetan Canon", in Robert L. Brown (ed.), *Ganesh: Studies of an Asian God*, State University of New York, 1991, p. 271. I have not been able to consult the original.

⁶ Inagaki 1987 p. 314.

⁷ BHSD 582b.

⁸ Gustav Roth, *Bhikṣuṇī-Vinaya: Manual of Discipline for Buddhist Nuns* (TSWS Vol. XII), Patna, 1970, index, p. 399.

⁹ MhMVR(T) 44.19.

¹⁰ Jaini 1965 p. 67.38; Finot 1917 p. 59.

¹ Jaini 1965 p. 67.39.

² Āṭānāṭika 74.22 (Tib.); MhMVR(T) 4.15, 17; 30 ult.; 31.12; Mahābalasūtra 24.7; Saptavetāḍaka-dhāraṇī (Feer, AMG V) 456; rGyal ba'i bla ma'i gzuns, Q 488, Vol. 11, rgyud, ba, 85a8. For Pali occurrences, see below. See phuluphulu in BHSD 397a for the term in a non-mantric context, which possibly gives a clue to its meaning.

³ Āṭānāṭika 74.22 (Tib.); MhMVR(T) 4.18; Suvarṇaprabhāsa 56.16; 58.1,2,4; SHT (III) 90 V2; rGyal ba'i bla ma'i gzuṇs 85a8.

⁴ MhMVR(T) 4.18, 9.10; Suvarṇaprabhāsa, loc. cit.

⁵ Vidyādharapiṭaka (Śikṣāsamuccaya 142.15).

⁶ Ātānātika 74.7 (Tib.).

 $^{^7}$ Āṭānāṭika 54.22; MhMVR(T) 9.13; Ārya-avalokiteśvara-mātā-nāma-dhāraṇī, Q 534, rgyud, 'a, 239a2; Ekādaśamukha, GM I 39.12, 40.16.

⁸ Bodhisattvabhūmi, cit. at Mppś IV 1858.1.

⁹ T 624, KBC 129; I am grateful to Paul Harrison (letter of 22 January, 1992) for this information. The "hulu" mantra also occurs in the later Tibetan translation, Q 824, mdo, pu, 327b5 (section [15G] in Harrison's forthcoming edition). For Lokakṣema, see E. Zürcher, The Buddhist Conquest of China, Leiden, 1972, pp. 35–36.

¹⁰ Bodhisattvabhūmi in Mppś IV 1858–59; Braarvig 1985 p. 20.

(fragmentary) Uighur version of the Ātānātika-sūtra gives a Uighur "translation" of the mantras, accompanied by interlinear Sanskrit glosses. The interpretations are in terms of Sarvāstivādin abhidharma categories — the sixteen aspects $(\bar{a}k\bar{a}ra)$ of the Four Truths, the four immeasurables (apramāna), etc. — but this must be the work of later scholiasts. In his *Tarkajvālā*, Bhavya offers a spirited defence of the "dhāranīs, mantras, and vidyās" of the Mahāyāna. He denies that they are meaningless, noting that "vidyās for the most part teach the six perfections $(p\bar{a}ramit\bar{a})$, the truths of the noble $(\bar{a}rya-satya)$, and the states that conduce to enlightenment (bodhipaksya-dharma)...". "The unintelligible syllables of spells (vidyā-pada) are taught in the supermundane (lokottara) language, or in the languages of gods, nāgas, or *yaksas*, etc." The purpose of some of the recurrent phrases may perhaps be determined from their context when a sufficient number of examples have been collected. Unfortunately, the dictionaries or indexes that I know of do not list *mantra* elements.³

Among the intelligible phrases are expressions of homage (namas) to Buddha(s) and other $\bar{a}ryas$ or to the Three Gems (triratna), which are treated as a part of the mantra: in Tibetan versions, for example, they are not translated.⁴ The $dh\bar{a}ran\bar{i}$ of the $Dhvaj\bar{a}grakey\bar{u}ra-dh\bar{a}ran\bar{i}$

contains satya-vāk or paritta-like phrases: buddhasatyena, dharmasatyena, saṃghasatyena, satyavādināṃ-satyena; buddhasatye mātikrama, etc., as do mantras in the Suvarṇaprabhāsa and Meghasūtras, and the Sarvatathāgatādhiṣṭhāna-vyūha.¹ The (Ārya) Pratītya-samutpādahṛdaya consists simply of the ye dharmā verse in Tibetan and Sanskrit, followed by the statement "when this hṛdaya is recited once, all sins (pāpa) will be purified", and so on.² Other intelligible phrases in the Dhvajāgrakeyūra-dhāraṇī, the Mahābala-sūtra, the Hayagrīva-vidyā, and other texts are commands or admonishments: jambhaya, stambhaya, mohaya, hana, daha, paca, matha, pramatha.

It is noteworthy that certain common elements appear in the *mantras* of a wide variety of texts — of the Śrāvakayāna, Mahāyāna, and Vajrayāna — and that some of these, usually found in association, invoke the names of female deities. Examples include *gauri*, *gandhāri*, *caṇḍāli*, and *mātaṅgi*, which occur in the Āṭānāṭika-sūtra,³ the Bhadrakarātrī-sūtra,⁴ the Mahāmāyūrī,⁵ the Mahādaṇḍadhāraṇī,⁶ the Saddharmapuṇḍarīka,⁵ the Mahābala-sūtra,⁵ the Ārya-avalokiteśvara-mātā-nāma-dhāraṇī,ゥ the Cauravidhvansana-dhāraṇī,¹o the Central Asian Nagaropama-vyākaraṇa,¹¹ and an unidentified Central Asian Sanskrit fragment.¹² It is

¹ Dieter Maue, "Sanskrit-uigurische Fragmente des Āṭānāṭikasūtra und des Āṭānāṭihṛdaya", *Ural-Altaische Jahrbücher*, Neue Folge, Band 5, Wiesbaden, 1985, pp. 98–122. I am grateful to Dr. Lore Sander for this reference.

² D 183a6 foll., Q 199b2 foll.

³ See Edgerton's remarks at *BHSD* 284b. While the *Sanskrit-Wörterbuch der buddhistischen Texte aus den Turfan-Funden* (Göttingen) does not record *mantras*, a card index is kept (personal communication from Dr. Siglinde Dietz, 1991).

⁴ Cf. the Jaina *Pamca-namokkāra-parama-mangala* (Roth, p. 130), which pays homage to five kinds of saints (*arhats*, *siddhas*, *ācāryas*, *upadhyāyas*, and "all *sādhus* in the world") and is described as "the first *mangala* among all the *mangalas*".

⁵ Q 306, Vol. 7, rgyud, ba, 73b4 foll.

¹ Suvarṇaprabhāsa 58.3; Megha-sūtra 300.13 foll., 306.3 foll.; GM I 56.4–7.

² Q 222, Vol. 7, rgyud, pha, 301b7–02a2.

³ Āṭānāṭika 54.24 (Tibetan); 68.9 (Tibetan); 69.8 (Sanskrit).

⁴ Q 979 (Vol. 39), mdo, śu, 172a4.

⁵ MhMVR(T) 18.16.

⁶ Q 308, Vol. 7, rgyud, ba, 77a1, 7.

⁷ BST 6, ch. 21, p. 234.19.

⁸ Mahābala-sūtra 24.36, 39.

⁹ Q 534, Vol. 11, rgyud, 'a, 239a2.

¹⁰ Q 214, Vol. 7, rgyud, pha, 278b4; cf. also Q 454, Vol. 9, [rñin] rgyud, va, 101a6.

¹¹ SHT (II) 176, Bl. 21 R5.

¹² SHT (III) 846, V7.

clear that for these and other recurrent phrases (hulu hulu, ili mili, and so on) the texts drew on a common pool of mantra elements.

To whom are the Buddhist mantras addressed? In some cases, such as that of the long mantra of the Vaiśālīpraveśa-mahāsūtra, they are spoken to malignant spirits, after invoking the power or grace of the Buddha, pratyekabuddhas, āryas, and various deities. In some cases, such as those mentioned in the preceding paragraph, they seem to invoke goddesses. In other cases, but probably not in the Śrāvakayāna rakṣās, they are addressed to a specific deity, such as Avalokiteśvara in the Hayagrīva-vidyā and Ekādaśamukha or the goddess Dhvajāgrakeyūra in the Dhvajāgrakeyūra-dhāraṇī. A complete answer can only be made after further research.

No inventory has yet been made of the *mantras* found in (Mūla) Sarvāstivādin texts.¹ Those that I know of are as follows:

1) the *mantra* of the *Vaiśālīpraveśa-sūtra*, which is essentially the same in the *Bhaiṣajyavastu* of the Mūlasarvāstivādin *Vinaya*, the independent Tibetan *Vaiśālīpraveśa-mahāsūtra*, and the Nepalese Sanskrit *Mahāmantrānusāriṇī*. It is probably the longest Mūlasarvāstivādin *mantra*;

- 2) the $Mah\bar{a}m\bar{a}y\bar{u}r\bar{\iota}$ -mantra of the Bhaisajyavastu of the Mūlasarvāstivādin Vinaya, as preserved both in Sanskrit and in Tibetan translation. In an expanded form, it also occurs in the $Mah\bar{a}m\bar{a}y\bar{u}r\bar{\iota}$ - $vidv\bar{a}r\bar{a}j\bar{n}\bar{\iota}$:
- 3) the 9 mantras of the $\bar{A}t\bar{a}n\bar{a}t\bar{i}ya$ -mah $\bar{a}s\bar{u}tra$ as preserved in Tibetan and Chinese translation. The Central Asian Sanskrit recension, the

¹ Cf. Mppś IV 1860 for a brief notice.

 $\bar{A}t\bar{a}n\bar{a}tika$ -s $\bar{u}tra$, probably contained the same mantras at the same places, and at least 3 additional mantras;

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- 4) the 19 mantras given in a prose "appendix" to the Mahāsamāja-mahāsūtra as preserved in Tibetan translation only;
- 5) the sadaksarī vidyā of the Śārdūlakarnāvadāna;1
- 6) the mantras of the Sanskrit Upasena-sūtra from Central Asia,² its Tibetan version as incorporated into the Mūlasarvāstivādin Vinayavibhanga,³ and its Chinese version in the Sanyuktāgama;⁴
- 7) (probably) the *mantras* of the *Bhadrakarātrī-sūtra* as preserved in Tibetan.⁵

This incomplete list is sufficient to show that *mantras* were fully accepted by the Mūlasarvāstivādins.

I do not believe that any true *mantras* are found in the canon of the Theravādins, which seems to have been closed before the influence of the *mantra* movement could be felt. *Mantras* are found in later extracanonical *paritta* texts: the *Yot braḥkaṇḍatraipiṭaka* (*hulū* 3; *vitti* 3; *mitti* 2; *citti* 2; *vatti* 2), the *Mahādibbamanta* (*hulu* 3),⁶ the *Dhāraṇaparitta* (*illi milli tilli atilli*),⁷ the *Sut Catuvik* (*hulu* 2),⁸ and the *Giniparitta* (*citti*, *vitti*, etc.),⁹ ending in *svāhāy*(*y*)*a*. That such *mantras* belonged not only to popular literature but were also accepted by at least

² N. Dutt, Gilgit Manuscripts, Vol. III part 1, [Srinagar, 1947] Delhi, 1984, p. 287.1–7; Tibetan translation in 'dul ba, ne, 46b7; MhMVR(T) 8.15–9.1.

¹ Divy 613.26; Q 313 (Vol. 7), (\bar{A} rya-)Ṣaḍakṣari-vidyā ('phags pa yi ge drug pa'i rig snags) is based on / extracted from the Śārdūlakarṇāvadāna.

² Upasenasūtra (1); Upasenasūtra (2) pp. 239–44.

³ Q 1032, Vol. 42, 'dul ba, che, 113a7.

⁴ Tsa a-han-ching, Sūtra 252: see Upasenasūtra (2) pp. 239-44; Mppś IV 1860.

⁵ Q 599 (gzuńs 'dus); Q 979 (mdo). Cf. SHT (III) 816 for Sanskrit fragments of the sūtra.

⁶ Jaini 1965 p. 67.38.

⁷ Mahābraḥbuddhamanta 20.8.

⁸ Finot 1917 p. 59.

⁹ L. de Silva, p. 10.

some scholars is shown by the fact that the anonymous author of the (Ayutthaya-period) Buddhapādamangala introduces the mantra "hulū hulū hulū svāhāya" into his commentary, and explains it in turn.\(^1\) The term dhāranī is rare in Pali,\(^2\) where it only occurs in extra-canonical texts such as the Gini Paritta.\(^3\) The term dhārana occurs in the sense of dhāranī in the title and text of the Dhārana-paritta.\(^4\) The author of the Mahāprajñāpāramitā-śāstra, who is well versed in the tradition of at least the Sarvāstivādins, notes that dhāranīs are not found in the system of the Śrāvakas, but allows that "lesser dhāranīs" can be obtained by universal monarchs, rsis, and others.\(^5\)

I have not seen any *mantras* in available Lokottaravādin literature. I have shown above, however, that the Mahāsāṃghikas are reported to have had a *Mantra-piṭaka* and the Siddhārthas, Pūrvaśailas, and Aparaśailas a *Vidyādhara-piṭaka*, none of which are extant. The Dharmaguptakas are said to have had a similar *piṭaka*, of which the Sanskrit title is uncertain, and their *Vinaya* describes the joint recitation of the *Arapacana* syllabary by monks and laymen.⁶

7. The raksā literature and cults

The rakṣā literature was strongly influenced by popular cults, both Buddhist and pre- or non-Buddhist. The former include the cults of the Seven Buddhas¹ and their trees,² of past Buddhas,³ of pratyekabuddhas,⁴ and of śrāvakas.⁵ The latter include the cults of the Four Great Kings;⁶ of yakṣas² (including the 28 yakṣasenāpati, frequently mentioned), nāgas, and the whole inventory of divine or daemonic beings in the Mahāmāyūrī, and of female goddesses as shown in both verse lists³ and in the mantras that invoke the goddess under various epithets.

It is noteworthy that one of the longest and most influential of the Śrāvakayāna $raks\bar{a}s$, the $\bar{A}t\bar{a}n\bar{a}tika-s\bar{u}tra$, is introduced and spoken by the Great King Vaiśravana: the next day the Buddha repeats it to the monks, and recommends that they master it. This seems to be a device to "convert" a non-Buddhist text by giving it the sanction of the Buddha. In the $J\bar{a}takam\bar{a}l\bar{a}$ (XXXIII, Mahisa), a yaksa gives a $raks\bar{a}$ to the bodhisattva in his birth as a buffalo.

¹ Supaphan Na Bangchang, *Vivadhanākāra Varrņagatī sai Braḥ Suttantapiṭaka ti Daeng nai Pradeśa Thai*, Bangkok, 2533 [1990], pp. 296–97.

² It is not listed in the *Pali Text Society Dictionary* or the *Pāli Tipiṭakaṃ Concordance*. Other forms derived from the same root are used in the sense of retention or memory of the teaching of the Buddha: see *Mppś* IV 1854 and Braarvig 1985 p. 21.

³ L. de Silva, p. 10.

⁴ Mahābraḥbuddhamanta 20.8, imam dhāraṇam amitam asamam. At Vinaya IV 305.27 the phrase dhāraṇam pariyāpuṇāti is immediately followed by guttatthāya parittam pariyāpuṇāti, but the meaning is obscure. I am grateful to Prof. Oskar von Hinüber for this reference.

⁵ Mppś I 328, IV 1876–77.

⁶ Sylvain Lévi, "Sur la Récitation Primitive des Textes Bouddhiques", JA, May-June 1915, pp. 439–40; Étienne Lamotte, *Histoire du Bouddhisme Indien*, Louvain-la-Neuve, 1976, p. 549; *Mppś* IV 1866–68; *Hōbōgirin* Vol. I 34 ("Arahashana"), Vol. VI 565 foll. ("Da"); E. Conze, The Large Sutra ..., p. 21, note 118.

¹ MhMVR(T) pp. 13, 43-45, 56-57; Ātānātiya Pali, DN III 195.27-96.10.

² MhMVR(T) p. 13.

³ Mahāśītavana, D 562, 138b7 foll.; Aṭṭhavīsati-paritta; Āṭānāṭiya-paritta, Royal Chanting Book pp. 20, 38–39.

⁴ Isigili-sutta, MN 116 (note the concluding admonition vandatha, following the list of paccekabuddhas), classed as a paritta in some Aṭṭhakathā lists (Table 1.4) and the Catubhānavāra.

⁵ Mahāmantrānudharaṇi, D 563, 155a7 foll.; Jinapañjara-gāthā.

⁶ Āṭānāṭika, Mahāsamāja, MhMVR(T) pp. 15 foll., 46, Mahāsītavana, Saddharmapuṇḍarīka, chapter 21; Suvarnaprabhāsa, chapter 7.

⁷ Āṭānāṭika, Mahāsamāja, MhMVR, Mahāśītavana.

⁸ Āṭānāṭika, Mahāsamāja, Mahāmāyūrī, Āśīrvāda-gāthā.

8. Rakṣā and the Mahāyāna

The raksā movement, with all its characteristic phraseology, mantras, and association with cults, influenced the composition of many Mahāyāna sūtras. A number of examples have already been cited. Chapter 21 of the Lotus Sūtra, the Dhāranīparivarta, contains raksā mantras spoken by Vaiśravana and Virūdhaka, by rāksasīs, and by bodhisattvas. Chapter 9 of the Lankāvatāra-sūtra, which bears the same title as the preceding, contains raksā mantras delivered by the Buddhas of the three times. 1 The Suvarnaprabhāsottama (which is classed under Tantra in some Kanjurs) contains several long chapters on protection. Chapter 3 of the Astasāhasrikā-prajñāpāramitā extols protections and other benefits derived from the Prajñāpāramitā, which it describes as a vidvā, though no mantra is given. Chapter 14 of the Pratyutpannabuddha-sammukhāvasthita-samādhi-sūtra and Chapter 27 (the last) of the Lalitavistara deal with the protection granted to those who preserve the $s\bar{u}tras$. Shorter $raks\bar{a}$ passages occur in the $Bhadrakalpika-s\bar{u}tra^2$ and the Śūramgamasamādhi-sūtra,³ and no doubt in many other sūtras of the Mahāvāna. Śāntideva's Śiksāsamuccaya devotes several pages to raksā mantras.4

At an uncertain date the great and voluminous Mahāyāna sūtras were themselves condensed into mantras or dhāraṇīs, often of only a few lines: various Prajñāpāramitā sūtras, from the recension in 100,000

ślokas down, the Samādhirāja, and the Lalitavistara. The Avataṃsaka, six volumes in Tibetan translation, was reduced to a dhāraṇī less than one line in length: "by retaining this, the Ārya Avataṃsaka will be retained". Hsüan-tsang used the Prajñāpāramitā-hrdaya as a rakṣā to ward off "all sorts of demon shapes and strange goblins" in the deserts of Central Asia; "whenever he was in danger, it was to this [text] alone that he trusted for his safety and deliverance". 3

9. Śrāvakayāna rakṣā literature and the Tantra

Śrāvakayāna *rakṣā* texts classed under *Tantra* (*rGyud*) in the *Kanjur* include the following:

- 1. Mahāsamāja-mahāsūtra
- 2. Āṭānāṭīya-mahāsūtra
- 3. Vaiśālīpraveša-mahāsūtra
- 4. Sadaksarī-vidyā
- 5. Bhadrakarātrī-sūtra
- 6. Mahāmāyūrī-vidyārājñī
- 7. Mahāsāhasrapramardanī-sūtra
- 8. Mahāśītavana-sūtra
- 9. Mahāmantrānudharaņi-sūtra.
- 10. Mahādaņḍadhāraņī.

Numbers 1 to 4, and most probably 5, belong to the Mūlasarvāstivādin tradition. The affiliation of the $Pa\tilde{n}caraks\bar{a}$ texts (numbers 6 to 10), all of which are highly composite, is not clear. All ten are classed under

¹ This chapter is not found in the Sung dynasty translation, done in 443 A.C., but is found in the Wei version of about 70 years later: see Jikido Takasaki, "Analysis of the Lankāvatāra. In Search of its Original Form", in *Indianisme et Bouddhisme: Mélanges offerts à Mgr Étienne Lamotte*, Louvain-la-neuve, 1980, p. 340.

² The Fortunate Aeon, Vol. I, Dharma Publishing, Berkeley, 1986, pp. 56-57.

³ É. Lamotte, La Concentration de la Marche Héroïque (Śūramgamasamādhisūtra), Brussels, 1975, p. 271.

⁴ Śiksāsamuccaya 138.14-42.15.

¹ Cf. Q 271 to 284. For the *Prajñāpāramitā*, see Edward Conze, *The Prajñāpāramitā Literature*, 2nd ed., Tokyo, 1978, pp. 86–87.

² Q 279, Vol. 7, rgyud, pha, 310b8–11a2.

³ Samuel Beal, *The Life of Hiuen-tsiang by the Shaman Hwui Li*, [London, 1911] New Delhi, 1973, pp. 21–22.

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Kriyā-tantra (Bya ba'i rgyud), the lowest of the four classes of Tantra. In addition, many of the short dhāraṇī texts — often connected with Indra, Brahma, yakṣas, and the Four Great Kings — included in Kriyā-tantra show no Mahāyāna or Vajrayāna influence, and may be described as Śrāvakayāna rakṣās. Among those translated by Feer, these include the Sapta-vetāḍaka-dhāraṇī, the Sarvarogapraśamani-dhāraṇī, the Jvarapraśamani-dhāraṇī, and the Akṣirogapraśamani-sūtra.¹

10. Archaeological evidence for the rakṣā literature

Apart from the famous list of *dhammapaliyāya* of the Aśokan inscription (which does not include any *rakṣās*), the only aspects of early Buddhism for which we have concrete evidence are the life of Śākyamuni Buddha along with the related *jātakas*, the cult of the Seven Buddhas and their trees, and the cults of the Four Great Kings, Indra, *yakṣas*, *nāgas*, and goddesses. These are represented in relief on those encyclopaedias in stone, the gateways and railings of Bhārhut, Bodh Gayā, Sāñchī, and other scattered sites. The cults of *yakṣas* and *nāgas* are also represented by the massive free-standing stone figures found in the regions of Patna, Mathurā, Bhubaneswar, and elsewhere; the cult of female deities is well-represented at numerous sites.

Since Bhārhut dates from about 100 B.C., and since the stone reliefs presuppose well-established (presumably oral) traditions as well as figurative prototypes, whether in wood or painted on cloth or other materials, we may say that the elements listed above go back to at least the second century B.C. It is noteworthy that some of them — for example the descent from Trayastriṃśa, depicted at both Bhārhut and Sānchī — are paracanonical for at least the Theravādin tradition.

These early monuments can only be understood in the light of such texts as one of the greatest $rak s\bar{a}s$, the $\bar{A}t\bar{a}n\bar{a}tika-s\bar{u}tra$. What did monks, nuns, and lay-followers do when they visited the early $st\bar{u}pas$? I do not think they wandered about aimlessly, silently staring, like the modern tourist. Rather, they would have performed deliberate circumambulations, and, when making offerings, would have recited verses of homage: to the Buddhas along with their trees, to the Four Great Kings, and other deities — if not the exact verses preserved in extant texts, then certainly their prototypes. The $st\bar{u}pas$ themselves imply the existence of a lore and liturgy which belongs in part to the $raks\bar{a}$ literature.

The railings with their gateways functioned as an outer protective mandala around the stūpa. At Bhārhut the Four Great Kings (the three surviving pillar reliefs identified by inscriptions) stood guard at the four cardinal points; similarly, the verses on the Kings and their retinues in texts such as the Atānātika-sūtra (in all versions), and the verses on the naksatras, devakumārīs, and Kings in the Āśīrvādagāthā follow the traditional clockwise pradaksinā, so that their recitation would invoke a "magic circle" of protection. I have noted above that the open palms that sometimes adom the early reliefs might signify the abhaya-mudrā. The concept of svasti or mangala is strongly represented in the various auspicious signs that adorn almost every relief: the svastika, the śrīvatsa, the conch, the sunshade, and so on. The cult of the Seven Buddhas was well established by the time of the Bharhut and Sanchi stūpas, where they are represented aniconically by their trees. Verses of homage to these, and perhaps other past Buddhas — the prototype of the verses of the Mahāmāyūrī, the Mahāsītavana, and the Pali Ātānātiya — must have been current by that time.

Literary evidence, such as a Chinese version of the Śārdūlakarṇāvādāna (for the Śrāvakayāna) and the *Drumakinnārarāja-pariprcchā* (for the

¹ AMG V 453-66.

Mahāyāna) shows that protective mantras were in vogue by the 2rd century A.C.¹ In the *Jātaka-sūtra (Sheng ching) translated by Dharmarakṣa in 285 A.C., "magic spells for averting the influence of thieves, evil spirits, and demons are explained by the Buddha".² Indeed, since the Wu dynasty Chinese translation of the Anantamukhanirhāra-dhāraṇī proves that mantras had already gained a spiritual application by the same period,³ it seems safe to conclude that rakṣā mantras were employed by the beginning of the Christian era, if not earlier. The available archaeological and literary evidence suggests that the heyday of the rakṣā movement was from the second century B.C. to the third century A.C. During this period the cults described above flourished in India (including here regions of present-day Pakistan and Afghanistan, as well as parts of Central Asia). By the third century the influence of the popular cults diminished (although they still persist in rural India), to be progressively eclipsed by the more sophisticated cults of bodhisattvas

for the Buddhists, and of deities such as Viṣṇu and Śiva for the Hindus. Both in India and abroad, certain cults, such as those of Indra, Brahma, and the Four Great Kings, gained a literary and iconographical longevity, which has allowed them to survive up to the present day in the Buddhist world.

11. Rite and ritual

I stated at the outset that a hallmark of the *rakṣā* literature in general is that the texts were actually employed in the day-to-day life of both monks and lay followers. For the *paritta*, there is no need to give any evidence: wherever Theravādin Buddhism is established, the recitation of *paritta* is a regular practice. A detailed description of the *paritta* rites of Sri Lanka has been provided by Lily de Silva in the study frequently referred to.

Several of the early $rak\bar{s}a$ texts contain internal information about their purpose and use. In the $Dhvaj\bar{a}gra-s\bar{u}tra$ the Buddha recommends the recollection of the Buddha, or the Dharma and the Saṃgha, to monks beset by fear when in the jungle or in lonely places. In the $\bar{A}t\bar{a}n\bar{a}tika-s\bar{u}tra$, Vaiśravaṇa delivers the protection to be learned by "the disciples of the Lord — monks, nuns, laymen, and laywomen — who dwell in lonely places" for their own security and protection against malignant non-humans; the next day the Buddha repeats the protection to the monks, and recommends that they learn it. In each case it is not the whole $s\bar{u}tra$ in its current form that was to be recited, but only certain parts; at a later date, however, the whole text would have undoubtedly been recited, as is the case with the corresponding Pali parittas.

I have not been able to uncover much information about how the Mūlasarvāstivādin *Mahāsūtras* were used. The *Vinayavibhanga* passage mentions their recitation as a protection against *vetāḍa*, without further

¹ Divy, Appendix A, p. 657. The reference is to the *Mātangī-sūtra, translated into Chinese in 230 A.C.: see T 1300, KBC 766, and M. Winternitz, History of Indian Literature, Vol. II, [1933] New York, 1972, pp. 286–87. In the early third and the fourth centuries, a number of mantra texts were rendered into Chinese by various translators: see Chou Yi-liang, "Tantrism in China", Harvard Journal of Asiatic Studies, Vol. 8, 1944–45, pp. 242–43, Matsunaga 1977 pp. 169–70, and Upasena-sūtra (2) p. 238. For the interesting figure of the "dhāranī master" Śrīmitra, who translated three "collections of spells", moved in court circles in the early decade of the 4th century at Chienk'ang, and was the first known person to have had a caitya built for him at the order of the Emperor, see Zürcher, op. cit., 103–04.

² Matsunaga 1977 p. 169; the reference is to T 154, KBC 799.

³ Inagaki 1987: the Wu version was translated between 223 and 253 A.C. (p. 24); the *mantras* of that version are shown in the comparative table of the *mantra*, pp. 310–52. For this *sūtra*, see also *Encyclopaedia of Buddhism*, Vol. I, fasc. 4, pp. 548–50. For an early date for the origins of "Tantra", see Matsunaga 1977, de Jong 1984, and John C. Huntington, "Note on a Chinese Text Demonstrating the Earliness of Tantra", *JIABS* Vol. 10, no. 2, 1987, pp. 88–98. For a detailed bibliography of Japanese and other studies on *mantra* and Tantra, early and late, see Hajime Nakamura, *Indian Buddhism: A Survey with Bibliographical Notes*, Hirakata, 1980, Chapter VI, Esoteric Buddhism.

detail (although it does mention a number of alternate rak ildes a ildes a ildes a). The commentary thereupon describes the function of the Mah ildea s ildeu t ildes t ildes t ildes a ildes

The Samantapāsādikā (5th century) refers to the use of thread and water in paritta ritual (parittodaka, parittasutta), 1 as does the Vinaya-vinicchaya, which de Silva dates to the 4th or 5th century. 2 The commentary on the Ratana-sutta (5th century) states that Ānanda sprinkled water from the Buddha's alms-bowl as he went through Vesālī reciting the sutta. 3 In the Suppāraka-jātaka the bodhisatta performs an act of truth (saccakiriya) holding a bowl full of water (puṇṇapāti). 4 A detailed description of a paritta rite is given in the commentary to the $\bar{A}t\bar{a}n\bar{a}tiya-sutta.$ 5 Interesting information about ritual practices connected with the upoṣadha ceremony in India and the "Islands of the Southern Sea" in the 7th century is supplied by I-ching. There is much

in common with the *paritta* rituals described by de Silva (including the overfeeding of the monks and the offering of betel-nut).¹

Brief rites are given at the end of the Sanskrit $Mah\bar{a}m\bar{a}y\bar{u}r\bar{\iota}$ and the Tibetan $Mah\bar{a}s\bar{\imath}tavana$. A number of rites are described in the $Mah\bar{a}s\bar{a}hasrapramardan\bar{\iota}$, where they are spoken by the Four Great Kings, Brahma, and Vaiśramaṇa. The "Chapter on Sarasvatī" in the $Suvarṇaprabh\bar{a}sa$ describes several rites. Other texts in the Tantra section of the Kanjur refer to recitation of mantras over thread and the tying of knots. The spiritually charged thread and water are common not only to the paritta but also to Mahāyāna and Vajrayāna rituals, and no doubt belong to early pan-Indian magical or protective rites. Matsunaga has given a chronological account of texts containing ritual elements translated into Chinese, starting with the first half of the third century. There is clearly a great deal to be learned here from Chinese sources.

The texts also recommend that *rakṣās* be written down, on paper or cloth, and tied as amulets to parts of the body or to standards (the latter in battle) or deposited in *stūpas*. This aspect awaits further exploration.⁷

¹ Chatthasangīti ed. I 577 (ref. from Dhammānanda 1992 p. 193).

² L. de Silva, p. 16.

³ L. de Silva, p. 17.

⁴ Jātaka 463, Vol. IV, p. 142.

⁵ L. de Silva, pp. 17–18.

¹ J. Takakusu, *op. cit.*, chapter IX. For a note on "the habit of chewing betel" in the *Avadāna* literature see J.S. Speyer, *Avadānaśataka*, Vol. II, [1906–09] repr. Osnabrück, 1970, pp. xxxv–xxxvi.

² Iwamoto 30–31, 36–37, 38, respectively.

³ BST 8, chapter 8.

⁴ Cf. Feer, AMG V 455–57, 464, 466. See SHT (III) 842, R5–6; Divy 614.13 (Śārdūlakarṇāvadāna), sūtrena baddhena.

⁵ Cf. Siksasamuccaya 140.18, abhimantritena jalena.

⁶ Matsunaga 1977 pp. 171–74.

⁷ See Encyclopaedia of Buddhism Vol. I, fasc. 3, pp. 493–502 ("Amulet"). For Khmer and Siamese practices, see Catherine Becchetti, Le Mystère dans les Lettres, Étude sur les yantra bouddhiques du Cambodge et de la Thaïlande, Bangkok, 1991.

Conclusions

Raksās, in one form or another, are an integral part of mainstream Buddhism. The present paper came into being as a result of my work on a critical edition of the Mūlasarvāstivādin Mahāsūtras as preserved in Tibetan translation. In the course of my research, I discovered that the Mahāsūtras were themselves employed as raksās, and uncovered the numerous cross-references that led me to conclude that the $raks\bar{a}$ phenomenon was extremely influential in early Buddhism. The paritta of the Theravadins, the Mahasūtras, raksas, and mantras of the Mūlasarvāstivādins, and the svastigāthā, rakṣās, and mantras of these and other schools of both the Śrāvaka- and Mahā-yānas were not independent or isolated developments. The chanting of certain auspicious verses or texts for protection against disease and malignant spirits and for the promotion of welfare was no doubt a "pan-nikāya" practice, common to all branches of the samgha from an early date; indeed, on the internal evidence of texts like the Dhvajagra and Ātānātika Sūtras, the practice should predate the early schisms. The two sūtras just referred to are both parittas and Mahāsūtras; the Ratana-sutta is a paritta, a svastigāthā, and the key element of a Pañcaraksā text. In some schools or communities the practice of $raks\bar{a}$ developed further with the use of mantras or vidyās, by the beginning of the Common Era at the very latest.

The Aṭānāṭika-sūtra seems to have been the prototype of much of the phraseology, and some of the verses, of the Buddhist rakṣā literature (when one considers that the sūtra would have been memorised by members of the samgha from an early date, this is not surprising); but this very phraseology and some of the verses were clearly adopted and adapted from contemporary popular magical and cult traditions. The prototype for at least some of the svastigāthās may well have been the Ratana-sutta. The Buddhist mantras derived some of their efficacy from

intelligible elements such as expressions of homage (namas) invoking the power of the Buddha(s), other āryas and deities, and the Triple Gem (triratna), and from the "profession of truth" (satyavāk). These were combined with unintelligible phrases; the origin and precise significance of these remain obscure, but it is clear that the texts drew on a common stock of elements, perhaps again from popular magical lore. In all cases the oral tradition, seamless in comparison with the written text, would have played a significant role in the permeation of Buddhist literature with such rakṣā and mantra phrases.

By definition the *rakṣā* literature is devoted to worldly ends: protection against physical or material threats, and promotion of physical and material well-being. Many of the texts, however, presuppose a certain level of spiritual development for the recitation to be efficacious, in particular the practice of loving-kindness: *maitrī* or *mettā*. And for all Buddhists, of whatever *nikāya* or *yāna*, the ultimate *rakṣā* was always *nirvāna*, described in the early texts as a refuge (*tāṇa*, *leṇa*, and so on).

The $rak s\bar{a}$ literature is a vast topic: in its broader sense, it involves the study of the entire corpus of Buddhist literature in all of its languages. In this paper I have only been able to give an outline, a rough sketch of the $rak s\bar{a}$ elephant as glimpsed here and there in the profuse jungle of Buddhist literature. Many questions remain to be considered. Who or what offers protection, and through what mechanism? How can past Buddhas offer protection? To what degree does the protection depend on the supplicant, to what degree on the reciter, to what degree on the beings invoked? I hope other scholars will contribute to this somewhat neglected field of research.

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Peter Skilling

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Abbreviations

AMG V	Léon Feer, Fragments extraits du Kandjour, Annales du
	Musée Guimet, Vol. V, Paris, 1883
BHSD	Franklin Edgerton, Buddhist Hybrid Sanskrit Grammar and
	Dictionary, Vol. II, Dictionary, [New Haven, 1953] Delhi,
	1972
BST	Buddhist Sanskrit Text series, Darbhanga
D	Derge (sDe dge) edition of the Tibetan Canon
Divy	E.B. Cowell and R.A. Neil, The Divyāvadāna, rep. Delhi,
-	1987
GM I	Nalinaksha Dutt (ed.), Gilgit Manuscripts, Vol. I, [Srinagar,
	1939] Delhi, 1984.
JA	Journal Asiatique
JIABS	Journal of the International Association of Buddhist
	Studies
JRAS	Journal of the Royal Asiatic Society
KBC	L.R. Lancaster, The Korean Buddhist Canon: A Descriptive
	Catalogue, Berkeley, 1979 (reference by catalogue number)
Q	Peking (Qianlong) edition of the Tibetan Canon
PraS(I)	Paul Harrison (ed.), The Tibetan Text of the Pratyutpanna-
	Buddha-Saṃmukhāvasthita-Samādhi-Sūtra, Tokyo, 1978

PraS (II)
Paul Harrison (tr.), The Samādhi of Direct Encounter with the Buddhas of the Present, Tokyo, 1990

MhMVR(T)
Shūyo Takubo (ed.), Ārya-Mahā-Māyūrī Vidyā-Rājñī, Tokyo, 1972

Mppś
Étienne Lamotte, Le Traité de la Grande Vertu de Sagesse de Nāgārjuna, Louvain, 1949-80

SHT
Ernst Waldschmidt et al. (eds.), Sanskrithandschriften aus den Turfan-Funden, Wiesbaden, 1965
Taishō edition of the Chinese Tripiṭaka (reference by catalogue number)

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Divyāvadāna, see Divy

Pratvutpannabuddhasammukhāvasthitasamādhi-sūtra, see PraS

Mahādibbamanta, see Jaini

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Table 1: Early paritta lists1

1. Milinda-pañha

PTS 150.27	ChS 152.20	Mm 206.14
1. Ratana-s	1. Ratana-s	1. Khandha-p
2. Khandha-p	2. Metta-s	2. Suvatthi-p
3. Mora-p	3. Khandha-p	3. Mora-p
4. Dhajagga-p	4. Mora-p	4. Dhajagga-p
5. Āṭānāṭiya-p	5. Dhajagga-p	5. Āṭānāṭiya-p
6. Aṅgulimālā-p	6. Āṭānāṭiya-p	
	7. Aṅgulimālā-p	

1.2.1. Sumangala-vilāsinī (Dīghanikāya-aṭṭhakathā on Sampasādanīya-s)

ChS [III] 81.10; Mm III 109.5; PTS III 897.28

- 1. Āṭānāṭiya-p²
- 2. Mora-p
- 3. Dhajagga-p
- 4. Ratana-p
- --- ādi

 1 In the table, -s = -sutta, -p = -paritta. PTS refers to the romanized editions of the Pali Text Society, London; HOS to the romanized ed. of the Visuddhimagga in the Harvard Oriental Series; ChS to the Burmese script Chaṭṭhasaṅgīti editions, Rangoon, Mm to the Thai script editions published by Mahāmakuṭa Press, Bangkok (consulted as accessible).

1.2.2. Papaficasūdanī (Majjhimanikāya-aṭṭhakathā on Bahudhātuka-s)

 ChS [IV] 79.19; PTS IV 114.6
 Mm III 522.11

 1. Āṭānāṭiya-p
 1. Āṭānāṭiya-p

 2. Mora-p
 2. Mora-p

 3. Dhajagga-p
 3. Dhajagga-p

 4. Ratana-p
 4. Ratana-p

 — ādi
 5. Metta-p

 — ādi
 5. Metta-p

1.2.3. Manorathapūraņī (Anguttaranikāya-aṭṭhakathā, Ekanipāta, on aṭṭhāna)³

ChS [I] 358; PTS II 9.23

- 1. Āṭānāṭiya-p
- 2. Mora-p
- 3. Dhajagga-p
- 4. Ratana-p
- -- ādi

1.2.4. Sammohavinodanī (Vibhanga-atthakathā)

ChS 411.27; Nalanda ed. 434.14; PTS 430.33

- 1. Ātānātiya-
- 2. Mora-p
- 3. Dhajagga-p⁴
- 4. Ratana-p
- ādi

² ChS and PTS omit -paritta here only.

³ The same list occurs at Mp IV (PTS) 114.

⁴ Nalanda and PTS omit -paritta.

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1.3.1. Visuddhimagga

ChS II 44.15; HOS 349.21; PTS Mm II 258.20

414.24;

1. Ratana-s 2. Khandha-p

3. Dhajagga-p4. Ātānātiya-p3. Dhajagga-p4. Ātānātiya-p

5. Mora-p

4. Āṭānāṭiya-p 5. Mora-p

Ratana-p
 Khandha-p

1.3.2. Samantapāsādikā I, Veranjakaņdavaņņanā⁵

ChS 129.10; PTS I 159.31

Mm I 178.7

1. Ratana-p

1. Ratana-p

2. Khandha-p

2. Metta-p

3. Dhajagga-p

3. Khandha-p

4. Āṭānāṭiya-p

4. Dhajagga-p

5. Mora-p

5. Āṭānāṭiya-p

6. Mora-p

1.4. Mahāniddesa-atthakathā (Tuvataka-s)

ChS 336.26; Mm II 92.6; PTS II 383.5

Manorathapūraņī (Tikanipāta)

ChS II 210.27; PTS II 342.1

- 1. Āṭānāṭiya-p
- 2. Isigili-p
- 3. Dhajagga-p
- 4. Bojjhanga-p
- 5. Khandha-p
- 6. Mora-p
- 7. Metta-p
- 8. Ratana-p

1.5. Sumangalavilāsinī (Dīghanikāya-atthakathā on Ātānātiya-sutta)

ChS [III] 150.23;Mm III 201.20; PTS III 969.15

- 1. Ātānātiya-s
- 2. Metta-s
- 3. Dhajagga-s
- 4. Ratana-s

⁵ The list of the Chinese version agrees with ChS, except that the Āṭānāṭiya is called sutta rather than paritta (but it would be interesting to know the Chinese term rendered here as paritta): P. V. Bapat and A. Hirakawa, Shan-Chien-P'i-P'o-Sha, A Chinese Version by Sanghabhadra of Samantapāsādikā, Poona, 1970, p. 116. The same list occurs at Paṭis-a (PTS) 367.35.

Sattaparitta and Sīrimangalaparitta, Dvādasaparitta, Paritta, ; Table

A. Paritta	B. Sīrimaṅgalaparitta	C. Dvādasaparitta	D. Sattaparitta
Mangala-sutta Ratana-sutta Metta-sutta Mora-sutta Mora-sutta Mora-sutta Mora-sutta Mora-sutta Mora-sutta Atānāṭiya-sutta Atānāṭiya-sutta Angulimāla-sutta Angulimāla-sutta Mojhanga-sutta Mojhanga-sutta Mojhanja-sutta	1. Marigala-sutta 2. Ratana-sutta 3. Metta-sutta 4. Khandha-sutta 5. Mora-sutta 6. Vaṭṭa-sutta 7. Dhajaga-sutta 8. Āṭānāṭiya-sutta 9. Aṅgulimāla-sutta 10. Bojjhaṅga-sutta 11. Pubbaṇha-sutta 12. Mahāsamaya-sutta 13. Sammāparibbājanīya-sutta 14. Purābheda-sutta 15. Kalahavivāda-sutta 16. Cūṭabyūha-sutta 17. Mahābyūha-sutta	Mangala-sutta Ratana-sutta Raranjyametta-sutta Khandha-paritta Mora-paritta Mora-paritta Mora-paritta Maiagga-paritta Afanāṭiya-paritta Afauāṭiya-paritta Angulimāla-paritta Angulimāla-paritta Angulimāla-paritta Angulimāla-paritta Angulimāla-paritta Angulimāla-paritta Angulimāla-paritta Angulimāla-paritta Angulimāla-paritta	Mangala-sutta Ratana-sutta Karaniyametta-sutta Khandha-paritta Mora-paritta Dhajagga-paritta Añanātiya-paritta Angulimāla-paritta Bojjhaṅga-paritta
	18. Tuvataka-sutta		

⁼ same text under different titles

^{19.} Mahā-ātānātiya-sutta

^{20.} Abhinha-sutta
21. Dhammacakkappavattana-sutta
22. Anattalakhaṇa-sutta
23. Dhammapadapāli
24. Mahāsatipaṭṭhāna-sutta
25. Paṭṭhānapāli paccayuddesa
26. Paṭṭhānapāli paccayaniddesa
27. Brahmajāla-sutta
28. Chadisāpāla-sutta
29. Cakkaparitta-sutta
30. Parimittajāla-sutta
31. Uppātasanti

None traced

The seven Pañcarakṣā in relation to other rakṣā and paritta texts Table 3:

Mahāyāna \exists

Mahāpratisarā-vidyārājītī

None traced

Parallels

Sanskrit:

Tibetan:

Chinese:

Śrāvakayāna **P** (2)

Rig pa' i rgyal mo so sor 'bran ba chen mo Iwamoto, Chandra
D 561, Q 179
tr. Jinamitra, Dānašīla, Ye šes sde, ca. 800 A.C.
T 1154, KBC 454, tr. Ratnacinta, 693 A.C.
T 1153, KBC 1349, tr. Amoghavajra, 8th cent. Mahāmāyūrī-vidyārājñī

*Māyūrī-jātaka / Mora-jātaka Ātānātika-sūtra / Ātānātiya-sutta Upasena-sūtra / Khandha-paritta

Ye ses sde, ca. 800 A.C. 6 translations between 317 and 907 (see Aalto 1954 p. 7) Chinese:

Rig sňags kyi rgyal mo rma bya chen mo Oldenburg, Takubo, Chandra D 559, Q 178
 tr. Śilendrabodhi, Jñānasiddhi, Śākyaprabha,

Sanskrit: Tibetan:

*Ratna-sūtra / Ratana-sutta

Mahāsāhasrapramardanī-nāma-mahāyānasūtra
= sTon chen po rab tu 'joms pa žes bya ba'i mdo
Sanskrit: Iwamoto, Chandra
Tibetan: D 558, Q 177
tr. Silendrabodhi, Jñānasiddhi, Śākyaprabha,
Ye śes sde, ca. 800 A.C.; rev. gZon nu dpal
Chinese: T 999, KBC 1096, tr. Dānapāla, 983 A.C.

3

= bSil ba'i tshal chen mo Mahāśītavana (4A)

Cp. Ātānātika-sūtra / Ātānātiya-sutta

not extant Sanskrit:

Tibetan:

D 562, Q 180 tr. Śilendrabodhi, Jñānasiddhi, Śākyaprabha, Ye ses sde, ca. 800 A.C.; rev. gZon nu dpal

none Chinese:

Mahāsītavatī-vidyārājñī Sanskrit:

(4B)

Iwamoto, Chandra
Mahādandadhārani, Be con chen po tes bya ba'i gzunis
D 606, Q 308, tr. Jinamitra, Dānaśila,
Ye ses sde, ca. 800 A.C.
T 1392, KBC 1104, tr. Fa-t'ien, 984 A.C. Tibetan:

Chinese:

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Candra-sūtra / Canda-paritta Udānavarga, Prātimokṣa-sūtra satyavāk, agraprajñapti

D 563, Q 181 tr. Śilendrabodhi, Jñānasiddhi, Śākyaprabha,

= gSan snags chen mo rjes su 'dzin pa'i mdo

Mahāmantrānudharaņī

(5A)

Sanskrit: Tibetan:

Ye ses sde, ca. 800 A.C.

none

Chinese:

(5B) sutta

Vaišālīpraveśa-mahāsūtra / Ratana-

[gSań sñags kyi rjes su 'brań ba chen $mo]^1$ Chandra Mahāmantrānusāriņī

none T 1048, KBC 1102, tr. Fa-t'ien, 984 A.C.

Sanskrit: Tibetan: Chinese:

¹ Tibetan title cited in D 558, rgyud 'bum, pha, 86a5.